

# Online-and-Offline Partial Evaluation: A Mixed Approach

Eijiro Sumii  
Naoki Kobayashi  
(University of Tokyo)

# Overview

- Introduction
- Simple Online PE
- Our Method
- Experiments
- Related Work
- Conclusion

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# Partial Evaluation

source program

specialized program

$$PE(p, s) = p_s$$

static input

- *Reduce* static computations
- *Residualize* dynamic computations

**s.t.**  $p_s(d) = p(s, d)$  for any  $d$

dynamic input

# Two Issues in PE

1. Efficiency of *specialized programs*

(analogy: efficiency of compiled programs)

2. Efficiency of *specialization*

(analogy: efficiency of compilation)

*Both are important.*

# Online PE and Offline PE

When to decide whether a computation is static or dynamic?

- Online PE:  
*During* the specialization,  
with a static input
- Offline PE:  
*Before* the specialization,  
w/o a static input

# Online v.s. Offline

Online PE (analogy: dynamic typing)

- Finer decision

⇒ faster *specialized programs*

Offline PE (analogy: static typing)

- Faster *specialization*

- Easier reasoning

*Either approach has its advantage.*

# Our Approach

Hybrid of online/offline PE  
(analogy: soft typing)

- Make an offline decision so far as it is precise
- Otherwise, make an online decision



# Results

- Specialized programs:  
*as fast* as simple online PE
- Specialization:  
*1.5-8 times faster* than  
simple offline PE  
(for the same specialization)
  - thanks to the decrease of  
unnecessary let-insertions  
(explained later)

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# Symbolic Values

dynamic expression  
for residualization

**type** *a symval* = *a option* ' *exp*

static value  
for reduction  
(optional)

**type** *a option* = *Some a* | *None*

# Simple Online PE

$[| x |]$  =  $x$

$[| l x.e |]$  =  $\acute{a}Some(l x.[|e|]), \underline{l x' \dots}$

$[| e_1 e_2 |]$  = **case**  $[|e_1|]$   
**of**  $\acute{a}Some s, \_ \P s [|e_2|]$   
 $| \acute{a}None, d \P \acute{a}None, d \underline{@} snd [|e_2|]$

# *Let-Insertion* is Necessary

- to preserve semantics under effects
- to avoid code duplication

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**$\lambda f. \text{let } y = f x \text{ in } 1+2$**

➡  **$\lambda f. \text{let } y = f x \text{ in } 3$**

rather than  **$\lambda f.3$**

- to avoid code duplication

# *Let-Insertion* is Necessary

- to preserve semantics under effects
- to avoid code duplication

**let  $f = \lambda x.1+2$  in  $(f, f)$**

➔ **let  $f = \lambda x.3$  in  $(f, f)$**

rather than  **$(\lambda x.3, \lambda x.3)$**



# Simple Online PE

$[| x |]$  =  $x$

$[| l x.e |]$  =  $\acute{a}Some(l x.[|e|]), \underline{l x' \dots}$

$[| e_1 e_2 |]$  = **case**  $[|e_1|]$   
**of**  $\acute{a}Some s, \_ \acute{P} s [|e_2|]$   
 $| \acute{a}None, d \acute{P} \acute{a}None, \underline{d @ snd [|e_2|]}$

# Simple Online PE with Let-Insertion

$[| x |] = x$

$[| l x.e |] = \text{áSome}(l x.delimit-let([|e|]),$   
 $\text{insert-let}(\underline{l x' \dots})\text{ñ}$

$[| e_1 e_2 |] = \text{case } [|e_1|]$   
 $\text{of } \text{áSome } s, \_ \text{ñ } \text{P } s [|e_2|]$   
 $| \text{áNone, } d \text{ñ } \text{P}$   
 $\text{áNone, } \text{insert-let}(d \underline{@} \text{snd } [|e_2|])\text{ñ}$

# Simple Online PE is Slow

because of:

- unnecessary tagging (*None/Some*)
- unnecessary let-insertion
- unnecessary residualization

[| (l x.x)3 |] =

case áSome(l x.x), insert-let(l x'....)ñ

of áSome(s), \_ñ Þ s 3

| áNone, dñ Þ ...

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[| (*l x.x*)<sup>3</sup> |] =

case | *Some*(*l x.x*), *insert-let*(*l x'*....) |

of | *Some*(*s*), \_ |  $\vdash s$  3

| | *None*, *d* |  $\vdash \dots$

# Simple Online PE is Slow

because of:

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[| (1 *x.x*)3 |] =

case  $\lambda x.x$ , *let-insert*(1 *x'*....)

of  $\lambda s, \_ \text{P } s$  3

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**[ (1 x.x)3 ] =**  
**case 1 x.x**  
**of s P s 3**



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# What Information is Useful?

- A static value is...
  - always/never available
    - ⇒ Tagging becomes unnecessary
- A dynamic expression...
  - never remains
    - ⇒ Residualization becomes unnecessary
  - remains at most once (& has no effects)
    - ⇒ Let-insertion becomes unnecessary

# Types

$r$  (raw type) ::=  $b_i$  |  $t_1 \rightarrow t_2$  |  $t_1 \times t_2$

$t$  (annotated type) ::=  $r^{(s,d)}$

$s$  (static use) ::=  $\mathbf{0}$  (never) |  $\mathbf{w}$  (always)  
|  $\mathbf{T}$  (sometimes)

*"Whether a static value is available"*

$d$  (dynamic use) ::=  $\mathbf{0}$  (never)  
|  $\mathbf{1}$  (at most once) |  $\mathbf{w}$  (any number of times)

*"How many times  
a dynamic expression remains"*

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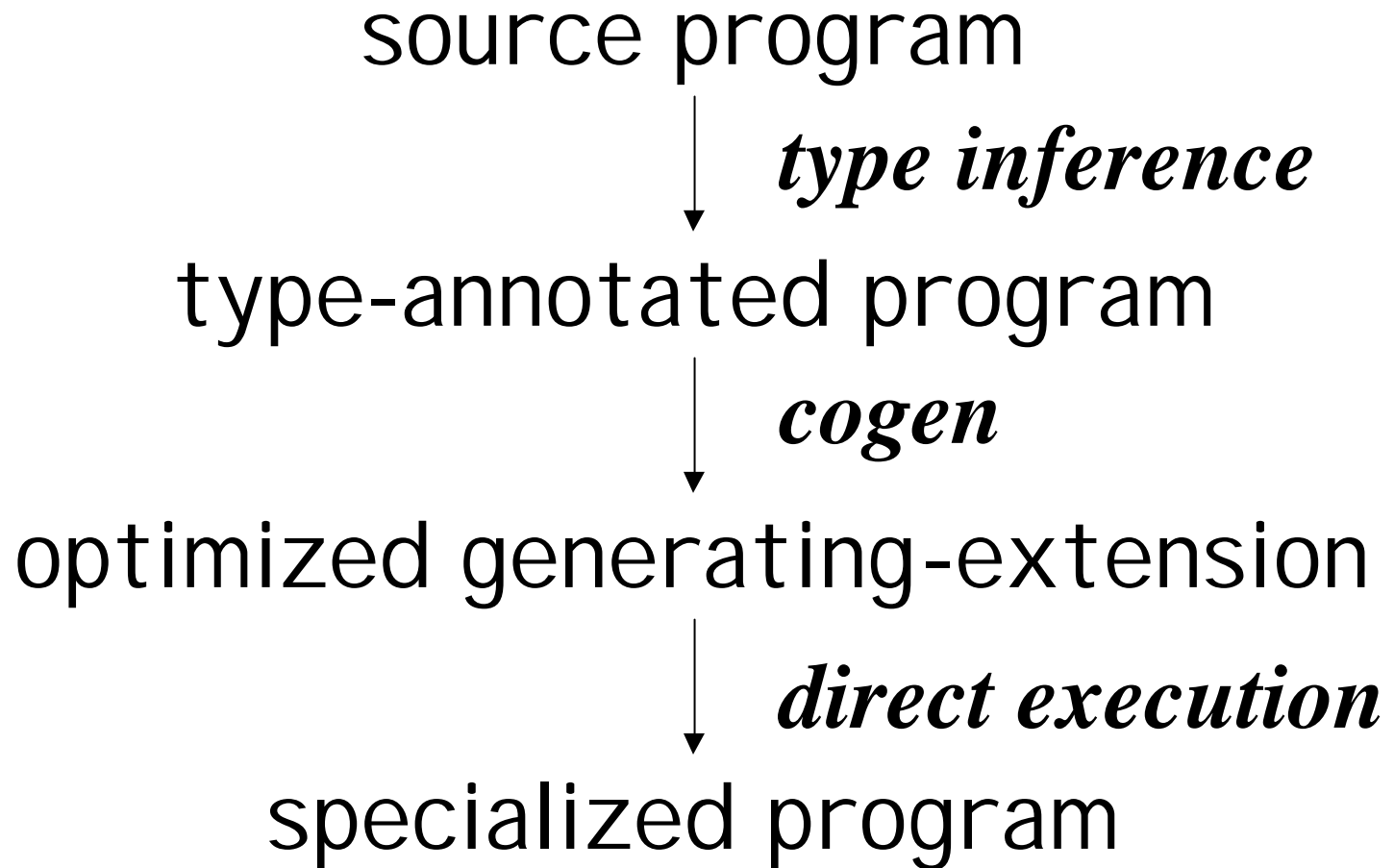
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|  $\mathbf{1}$  (at most once) |  $w$  (any number of times)

*"How many times  
a dynamic expression remains"*

# Framework of our Method



# Examples of Typing (I): Static Uses ( $\mathbf{0}$ , $w$ , and $\mathbf{T}$ )

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(0,1)}} a = g \text{ in } f\ 3$

$\Rightarrow \lambda g. \text{let } f = g \text{ in } f@3$

$\Rightarrow \lambda g. g@3$

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(w,0)}} \text{int} = \lambda x.x \text{ in } f\ 3$

$\Rightarrow \lambda g. \text{let } f = \lambda x.x \text{ in } f\ 3$

$\Rightarrow \lambda g. 3$

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(T,1)}} \text{int} = (\text{if } true \text{ then } \lambda x.x \text{ else } g) \text{ in } f\ 3$

$\Rightarrow \lambda g. \text{let } f = (\text{if } true \text{ then } \text{Some}(\lambda x.x), \text{None})$



# Examples of Typing (I): Static Uses (**0**, **w**, and **T**)

1 *g*. let  $f = \mathbf{g}$  in  $f$  3

# Examples of Typing (I): Static Uses (**0**, **w**, and **T**)

1 *g*. let *f* : **int** <sup>(0,1)</sup> **@** *a* = **g** in *f* 3

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$\lambda g. \text{let } f : \text{int}^{\textcircled{0,1}} a = g \text{ in } f\ 3$

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# Examples of Typing (I): Static Uses (**0**, **w**, and **T**)

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(0,1)}} a = g \text{ in } f \ 3$

$\Rightarrow \lambda g. \text{let } f = g \text{ in } f @ 3$

$\Rightarrow \lambda g. g @ 3$

$\lambda g. \text{let } f = \lambda x. x \text{ in } f \ 3$

# Examples of Typing (I): Static Uses (**0**, **w**, and **T**)

$\lambda g. \text{let } f : \text{int}^{\textcircled{\text{R}}(0,1)} a = g \text{ in } f \ 3$

$\Rightarrow \lambda g. \text{let } f = g \text{ in } f @ 3$

$\Rightarrow \lambda g. g @ 3$

$\lambda g. \text{let } f : \text{int}^{\textcircled{\text{R}}(w,0)} \text{int} = \lambda x.x \text{ in } f \ 3$

# Examples of Typing (I): Static Uses (**0**, **w**, and **T**)

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(0,1)}} a = g \text{ in } f \ 3$

$\Rightarrow \lambda g. \text{let } f = g \text{ in } f \ @ \ 3$

$\Rightarrow \lambda g. g \ @ \ 3$

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(w,0)}} \text{int} = \lambda x.x \text{ in } f \ 3$

$\Rightarrow \lambda g. \text{let } f = \lambda x.x \text{ in } f \ 3$

# Examples of Typing (I): Static Uses (**0**, **w**, and **T**)

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(0,1)}} a = g \text{ in } f\ 3$

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$\Rightarrow \lambda g. g@3$

$\lambda g. \text{let } f : \text{int}^{\mathbb{R}^{(w,0)}} \text{int} = \lambda x.x \text{ in } f\ 3$

$\Rightarrow \lambda g. \text{let } f = \lambda x.x \text{ in } f\ 3$

$\Rightarrow \lambda g. 3$



# Examples of Typing (I): Static Uses ( $\mathbf{0}$ , $w$ , and $\mathbf{T}$ )

$\text{lg. let } f : \text{int}^{\mathbb{R}(0,1)} a = g \text{ in } f \ 3 \quad \Rightarrow \quad \text{lg. } g \ 3$

$\text{lg. let } f : \text{int}^{\mathbb{R}(w,0)} \text{int} = \lambda x.x \text{ in } f \ 3 \quad \Rightarrow \quad \text{lg. } 3$

$\text{lg. let } f = (\text{if } \textit{true} \text{ then } \lambda x.x \text{ else } g) \text{ in } f \ 3$

# Examples of Typing (I): Static Uses ( $\mathbf{0}$ , $w$ , and $\mathbf{T}$ )

1 g. let  $f : \text{int}^{\mathbb{R}^{(0,1)}}$   $a = g$  in  $f$  3  $\Rightarrow$  1 g.  $g$  3

1 g. let  $f : \text{int}^{\mathbb{R}^{(w,0)}}$   $\text{int} = \lambda x.x$  in  $f$  3  $\Rightarrow$  1 g. 3

1 g. let  $f : \text{int}^{\mathbb{R}^{(\mathbf{T},1)}}$   $\text{int} = (\text{if } \textit{true} \text{ then } \lambda x.x \text{ else } g)$   
in  $f$  3

# Examples of Typing (I): Static Uses ( $\mathbf{0}$ , $w$ , and $\mathbf{T}$ )

$\vdash g. \text{let } f : \text{int}^{\mathbb{R}(0,1)} a = g \text{ in } f \ 3 \Rightarrow \vdash g. g \ 3$

$\vdash g. \text{let } f : \text{int}^{\mathbb{R}(w,0)} \text{int} = \lambda x.x \text{ in } f \ 3 \Rightarrow \vdash g. 3$

$\vdash g. \text{let } f : \text{int}^{\mathbb{R}(\mathbf{T},1)} \text{int} = (\text{if } \textit{true} \text{ then } \lambda x.x \text{ else } g) \text{ in } f \ 3$

$\Rightarrow \vdash g. \text{let } f = (\text{if } \textit{true} \text{ then } \textit{Some}(\lambda x.x), \textit{None})$   
else  $\textit{None}$ ,  $g$ )

in (case  $f$  of  $\textit{Some} \ s, \_ \Rightarrow s \ 3$   
|  $\textit{None}$ ,  $d \Rightarrow d@3$ )

# Examples of Typing (I): Static Uses ( $\mathbf{0}$ , $w$ , and $\mathbf{T}$ )

$\underline{1}g. \text{let } f : \text{int}^{\mathbb{R}}(0,1) a = g \text{ in } f \ 3 \Rightarrow \underline{1}g. g \ 3$

$\underline{1}g. \text{let } f : \text{int}^{\mathbb{R}}(w,0) \text{int} = \underline{1}x.x \text{ in } f \ 3 \Rightarrow \underline{1}g. 3$

$\underline{1}g. \text{let } f : \text{int}^{\mathbb{R}}(\mathbf{T},1) \text{int} = (\text{if } \textit{true} \text{ then } \underline{1}x.x \text{ else } g) \text{ in } f \ 3$

$\Rightarrow \underline{1}g. \text{let } f = (\text{if } \textit{true} \text{ then } \acute{\text{a}}\textit{Some}(\underline{1}x.x), \frac{1}{4}\grave{\text{a}} \text{ else } \acute{\text{a}}\textit{None}, g\grave{\text{a}})$

$\text{in } (\text{case } f \text{ of } \acute{\text{a}}\textit{Some} \ s, \_ \grave{\text{a}} \ \text{P} \ s \ 3$   
 $\quad | \acute{\text{a}}\textit{None}, d\grave{\text{a}} \ \text{P} \ \underline{d@}3)$

$\Rightarrow \underline{1}g. 3$

# Examples of Typing (II): Dynamic Uses (0, 1, and w)

let  $f : \text{int}^{\text{R}}(0,0)\text{int} = \lambda x.1+2+x$  in 7

$\Rightarrow$  let  $f = \lambda x.1+2+x$  in 7

$\Rightarrow$  7

let  $f : \text{int}^{\text{R}}(0,1)\text{int} = \lambda x.1+2+x$  in  $f$

$\Rightarrow$  let  $f = \lambda x.1+2+x$  in  $f$

$\Rightarrow$   $\lambda x.3+x$

let  $f : \text{int}^{\text{R}}(0,w)\text{int} = \lambda x.1+2+x$  in  $\lambda f, f$

$\Rightarrow$  let  $f = \text{insert-let}(\lambda x.1+2+x)$  in  $(f, f)$

$\Rightarrow$   $\lambda f'. \lambda x.3+x$  in  $(f', f')$

# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

**let  $f = \lambda x.1+2+x$  in 7**

# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

let  $f : \text{int}^{\text{R}}(0,0)\text{int} = \lambda x.1+2+x$  in 7

# Examples of Typing (II): Dynamic Uses (0, 1, and w)

let  $f : \text{int}^{\text{R}}(0,0)\text{int} = \lambda x.1+2+x$  in 7

$\Rightarrow$  let  $f = \text{añ}$  in 7



# Examples of Typing (II): Dynamic Uses (0, 1, and w)

let  $f : \text{int}^{\text{R}}(0,0)\text{int} = \lambda x.1+2+x$  in 7

$\Rightarrow$  let  $f = \text{añ}$  in 7

$\Rightarrow$  7

# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

**let  $f : \text{int}^{\text{R}}(0,0)\text{int} = \lambda x.1+2+x$  in 7**

$\Rightarrow$  **let  $f = \lambda x.1+2+x$  in 7**

$\Rightarrow$  **7**

**let  $f = \lambda x.1+2+x$  in  $f$**

# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

let  $f : \text{int}^{\textcircled{\text{R}}(0,0)}\text{int} = \lambda x.1+2+x$  in 7

$\Rightarrow$  let  $f = \text{añ}$  in 7

$\Rightarrow$  7

let  $f : \text{int}^{\textcircled{\text{R}}(0,1)}\text{int} = \lambda x.1+2+x$  in  $f$

# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

let  $f : \text{int}^{\textcircled{\text{R}}(0,0)}\text{int} = \lambda x.1+2+x$  in 7

$\Rightarrow$  let  $f = \lambda x.1+2+x$  in 7

$\Rightarrow$  7

let  $f : \text{int}^{\textcircled{\text{R}}(0,1)}\text{int} = \lambda x.1+2+x$  in  $f$

$\Rightarrow$  let  $f = \lambda x.1+2+x$  in  $f$

# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

let  $f : \text{int}^{\textcircled{\text{R}}(0,0)}\text{int} = \lambda x.1+2+x$  in 7

$\Rightarrow$  let  $f = \text{án}$  in 7

$\Rightarrow$  7

let  $f : \text{int}^{\textcircled{\text{R}}(0,1)}\text{int} = \lambda x.1+2+x$  in  $f$

$\Rightarrow$  let  $f = \underline{\lambda x.1+2+x}$  in  $f$

$\Rightarrow$   $\lambda x.3+x$

# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

$\text{let } f : \text{int}^{\textcircled{R}}(0,0)\text{int} = \text{let } x.1+2+x \text{ in } 7$

$\Rightarrow \text{let } f = \lambda x.7 \text{ in } 7$

$\Rightarrow 7$

$\text{let } f : \text{int}^{\textcircled{R}}(0,1)\text{int} = \text{let } x.1+2+x \text{ in } f$

$\Rightarrow \text{let } f = \lambda x.\underline{1}x.\underline{1}+2+\underline{x} \text{ in } f$

$\Rightarrow \underline{1}x.\underline{3}+\underline{x}$

$\text{let } f = \text{let } x.1+2+x \text{ in } \lambda f, f$

# Examples of Typing (II): Dynamic Uses (0, 1, and w)

let  $f : \text{int}^{\text{R}}(0,0)\text{int} = \lambda x.1+2+x$  in 7

$\Rightarrow$  let  $f = \lambda x.3$  in 7

$\Rightarrow$  7

let  $f : \text{int}^{\text{R}}(0,1)\text{int} = \lambda x.1+2+x$  in  $f$

$\Rightarrow$  let  $f = \lambda x.3+x$  in  $f$

$\Rightarrow$   $\lambda x.3+x$

let  $f : \text{int}^{\text{R}}(0,w)\text{int} = \lambda x.1+2+x$  in  $\lambda f, f$

# Examples of Typing (II): Dynamic Uses (0, 1, and w)

$\text{let } f : \text{int}^{\textcircled{\text{R}}(0,0)} \text{int} = \text{let } x.1+2+x \text{ in } 7$

$\Rightarrow \text{let } f = \lambda x.1+2+x \text{ in } 7$

$\Rightarrow 7$

$\text{let } f : \text{int}^{\textcircled{\text{R}}(0,1)} \text{int} = \text{let } x.1+2+x \text{ in } f$

$\Rightarrow \text{let } f = \lambda x.1+2+x \text{ in } f$

$\Rightarrow \lambda x.3+x$

$\text{let } f : \text{int}^{\textcircled{\text{R}}(0,w)} \text{int} = \text{let } x.1+2+x \text{ in } \lambda f, f$

$\Rightarrow \text{let } f = \text{insert-let}(\lambda x.1+2+x) \text{ in } (f, f)$



# Examples of Typing (II): Dynamic Uses (**0**, **1**, and **w**)

$\text{let } f : \text{int}^{\textcircled{R}}(0,0)\text{int} = \text{let } x.1+2+x \text{ in } 7$

$\Rightarrow \text{let } f = \text{let } x.1+2+x \text{ in } 7$

$\Rightarrow 7$

$\text{let } f : \text{int}^{\textcircled{R}}(0,1)\text{int} = \text{let } x.1+2+x \text{ in } f$

$\Rightarrow \text{let } f = \text{let } x.1+2+x \text{ in } f$

$\Rightarrow \text{let } x.3+x$

$\text{let } f : \text{int}^{\textcircled{R}}(0,w)\text{int} = \text{let } x.1+2+x \text{ in } \text{let } f, f$

$\Rightarrow \text{let } f = \text{insert-let}(\text{let } x.1+2+x) \text{ in } (f, f)$

$\Rightarrow \text{let } f = \text{let } x.3+x \text{ in } (f, f)$

# Example of Typing Rules

For  $\lambda$ -abstractions:

$$\frac{\begin{array}{c} G \succ (s, d) \times G_0 \\ d \perp \mathbf{0} \quad \mathbf{P} \quad s_1 \perp w \end{array}}{G \quad lx.e : r_1^{(s_1, d_1)} \quad \textcircled{\text{R}}^{(s, d)} \quad t_2} \text{(abs)}$$

# Type Inference

- Construct a type derivation
  - assign use variables
  - generate constraints on them
- Solve the constraints

# Type Inference

- Construct a type derivation
- Solve the constraints
  - approximate most conservatively  
(every  $s = \mathbf{T}$  and every  $d = w$ )
  - refine by iterations  
( $\mathbf{0} \prec_S w \prec_S \mathbf{T}$  and  $\mathbf{0} \prec_D \mathbf{1} \prec_D w$ )
    - linear w.r.t. the # of use variables
    - can be stopped at any time

# Type Inference

- Construct a type derivation
- Solve the constraints
  - approximate most conservatively  
(every  $s = \mathbf{T}$  and every  $d = w$ )
  - refine by iterations  
( $\mathbf{0} \prec_S w \prec_S \mathbf{T}$  and  $\mathbf{0} \prec_D \mathbf{1} \prec_D w$ )

N.B. The existence of  $\mathbf{T}$   
*simplified* the analysis with  $\mathbf{1}$

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# Compared Methods

- Simple Online PE: only  $(T, w)$  with post-processing for inlining
- Simple Offline PE:  $(w, 0)$  and  $(0, w)$  with binding-time improvement *by hand*
- [Sperber-96]:  $(w, 0)$ ,  $(0, w)$ , and  $(T, w)$
- Our Method

All are implemented with:

- a cogen approach
- state-based let-insertion

# Applications

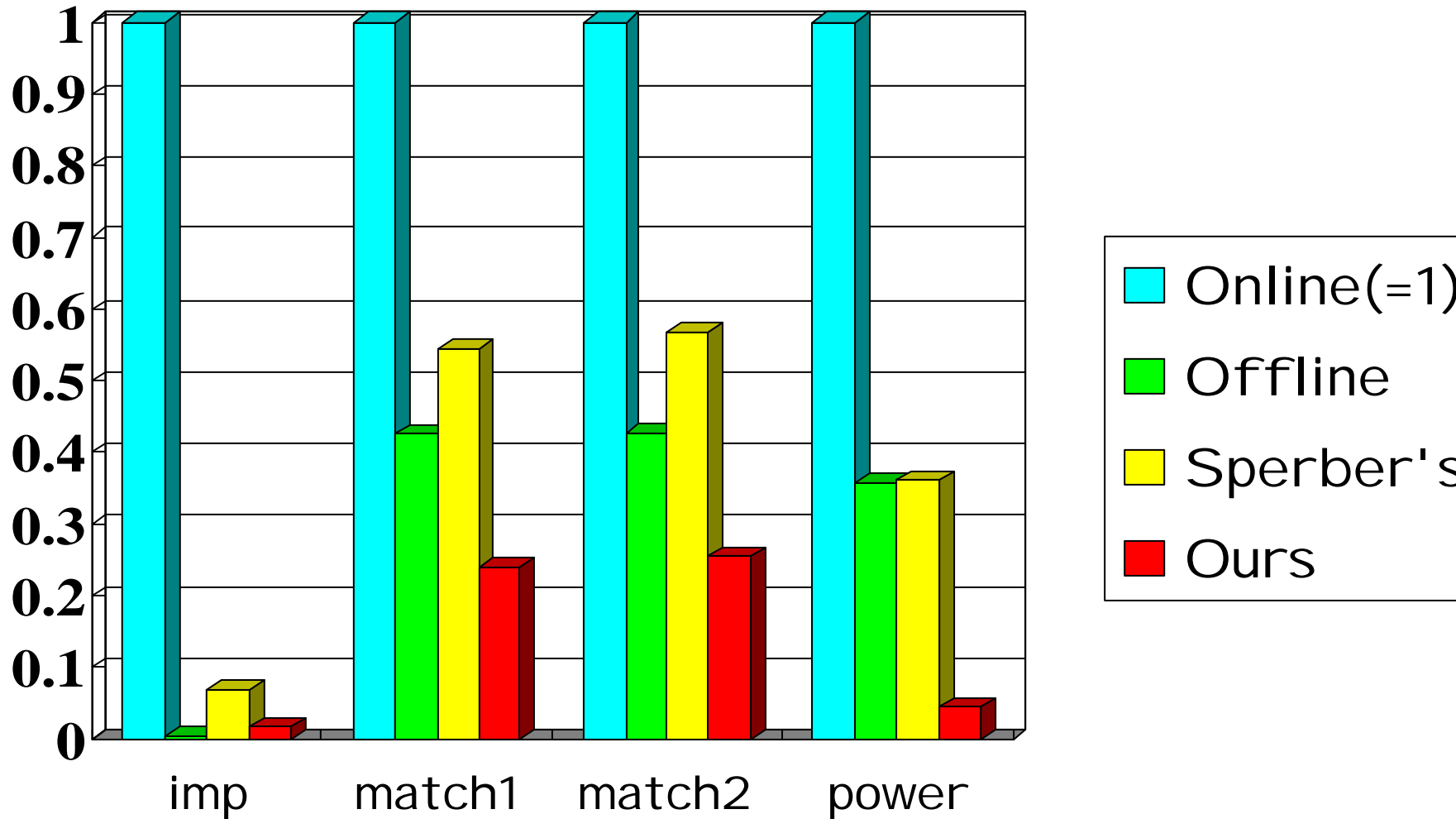
- `imp`: an interpreter for a simple imperative language
- `match1`: a pattern matcher with the `pattern` static
- `match2`: the same pattern matcher with the `string` static
- `power`



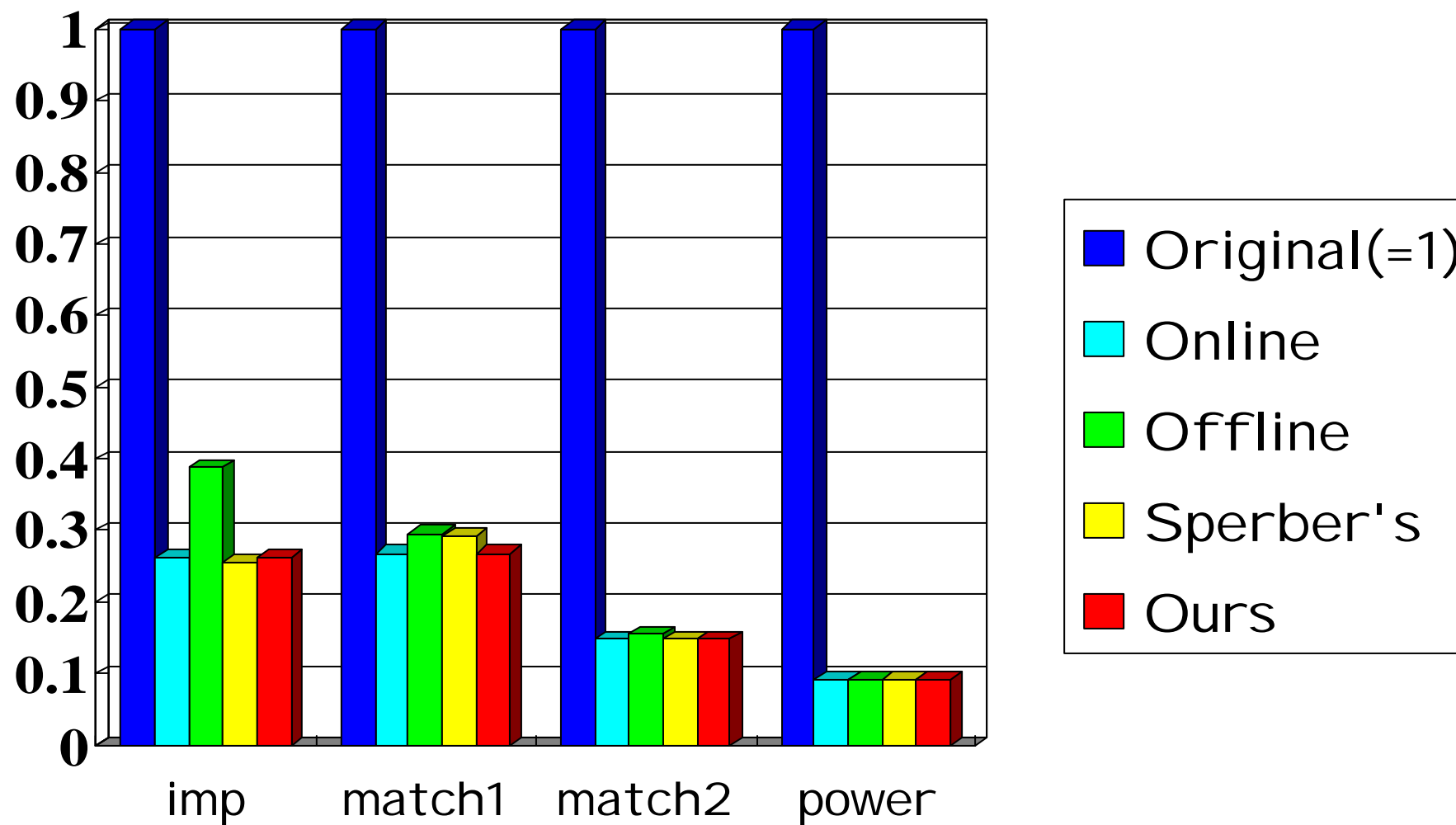
# Environment

- Mobile Pentium II 400MHz
- 128MB Main Memory
- Linux 2.2.10
- SML/NJ 110.0.3

# Time for Specialization



# Time for Specialized Programs



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# Related Work (I)

- [Sperber-96]  
BTA with "unknown" (T,w)
- [Asai-99]  
BTA with "both" (w,w)
- [Bondorf-90]  
"Abstract occurrence counting  
analysis" to decrease unnecessary  
let-insertions

*Our analysis subsumes all of these.*

# Related Work (I I)

- [Ruf-93] [Sperber-96]  
(Quasi-)self-application for online PE
- [Thiemann-99]  
Systematic derivation of a cogen  
approach to offline PE

*We adopted the cogen approach  
(which is simpler and faster)  
into online-and-offline PE.*

# Overview

- Introduction
- Simple Online PE
- Our Method
- Experiments
- Related Work
- Conclusion

# Conclusion

- We presented "hybrid" PE combining:
  - the precision of online PE, and
  - the efficiency of offline PE
- Future work includes:
  - correctness proof
  - experiments with larger programs