

Soundness is not Sufficient

Fritz Henglein
DIKU

Shonan Village, 2011-09-25

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- Ask, comment, interrupt any time.

Goals

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- Propose informal criteria for what a static analysis should satisfy to warrant being called a “good” static analysis.

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- Propose technical criteria for capturing some aspects of the informal criteria
- Identify questions for further work, both conceptual and technical.

Program property

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- A program property P is **semantic (extensional)** if
$$\mathbf{p \cong q \Rightarrow (P(p) \Leftrightarrow P(q))}$$
- A program property P is **trivial** if $P(p)$ for all p , or $\neg P(p)$ for all p .

Rice's Curse

Theorem:

Let L be a Turing-complete programming language, P a nontrivial semantic program property.

Then P is undecidable.

Rice's Curse, pictorially

P does not hold

$$p \cong q \not\cong p' \cong q'$$

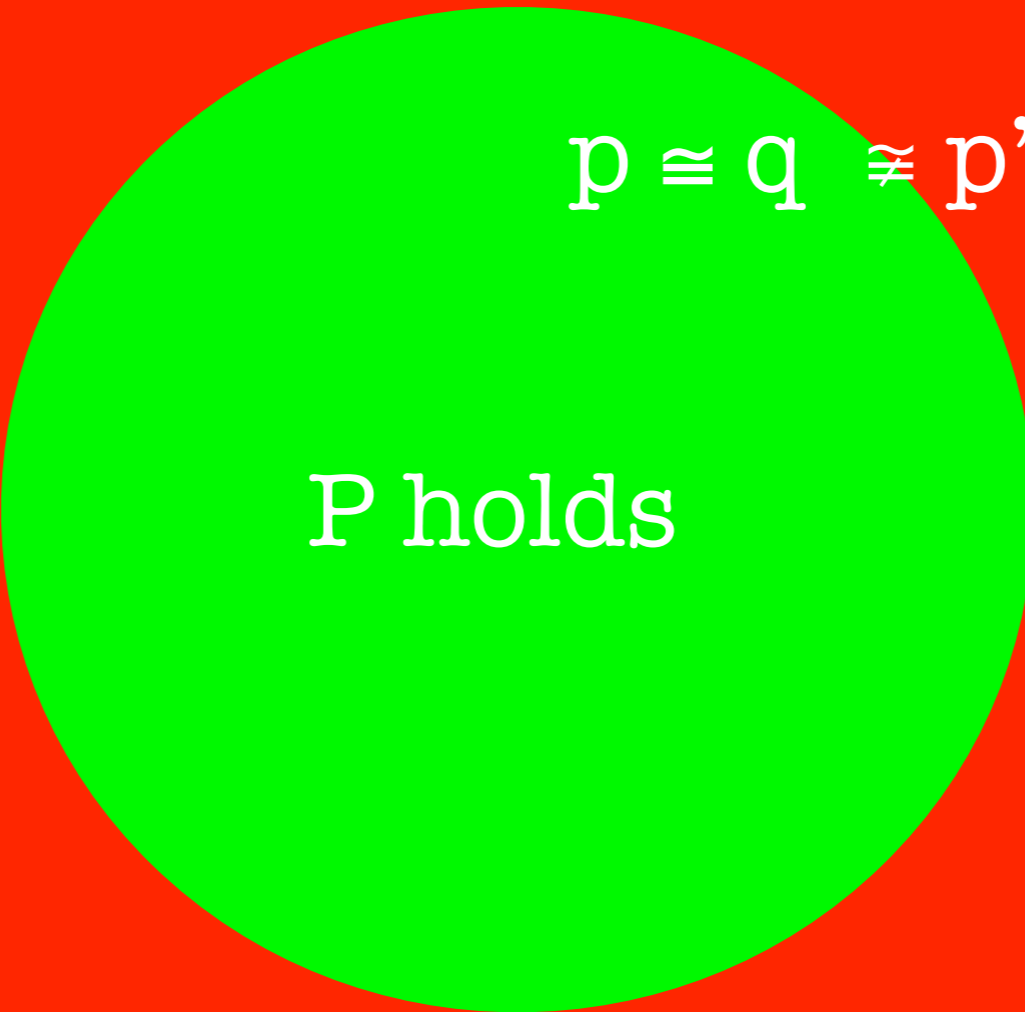
P holds

Rice's Curse, pictorially

P does not hold

$$p \cong q \not\cong p' \cong q'$$

P holds



P is not decidable!

Rice's Curse: Example



Normalizing λ -terms
(N)

Rice's Curse: Example

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semantic and
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Corollary: N is not decidable!

Rice's Curse: Example

Normalizing λ -terms
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Can we
approximate it?

Corollary: N is not decidable!

Static analysis

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Is that sufficient? No, we also want...

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Is that sufficient? No, we also want...
 - **Goodness**

Static analysis

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 - (S, S') : Static analysis for P
- We want of (S, S') :

- **Soundness:** $S \subseteq \neg P$

Is that sufficient? “good” mean?? We also want...

- **Goodness**

What does “good” mean??

Goodness characteristics

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- **Usefulness:**
 - Has some effective use

Goodness characteristics

- **Usefulness:**
 - Has some effective use
- **Declarative specification:**
 - Separation of **what** the analysis computes from **how** it computes it (the particular algorithm[s] used)

Goodness characteristics

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- **Unimprovability:**
 - Can't get **better** approximation at **lower** computational cost

Goodness characteristics

- **Unimprovability:**
 - Can't get **better** approximation at **lower** computational cost
- **Predictability:**
 - Predictability under program transformations

Goodness of Certificates

Algorithm need not be compositional, only its result

- **Compositional certification**
 - Explicit, modular (syntax-oriented), efficiently checkable logical explanation of analysis results
- **Constructive interpretation**
 - Operational interpretation of certificate, not just of yes/no answer

Goodness characteristics

Goodness characteristics

- **Adaptiveness:**
 - Easy instances are handled efficiently
 - Hard instances may take more time.
- **Parameter sensitivity**
 - Scale well with parameter, which captures expectations on input distribution.

Goodness

Property of particular **algorithm** A implementing an analysis S

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Static Analysis for N

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- Imagine we want to analyze N

Static Analysis for N

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- Is System F typability a good static analysis for N ?

System F for N

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- Sound? ✓

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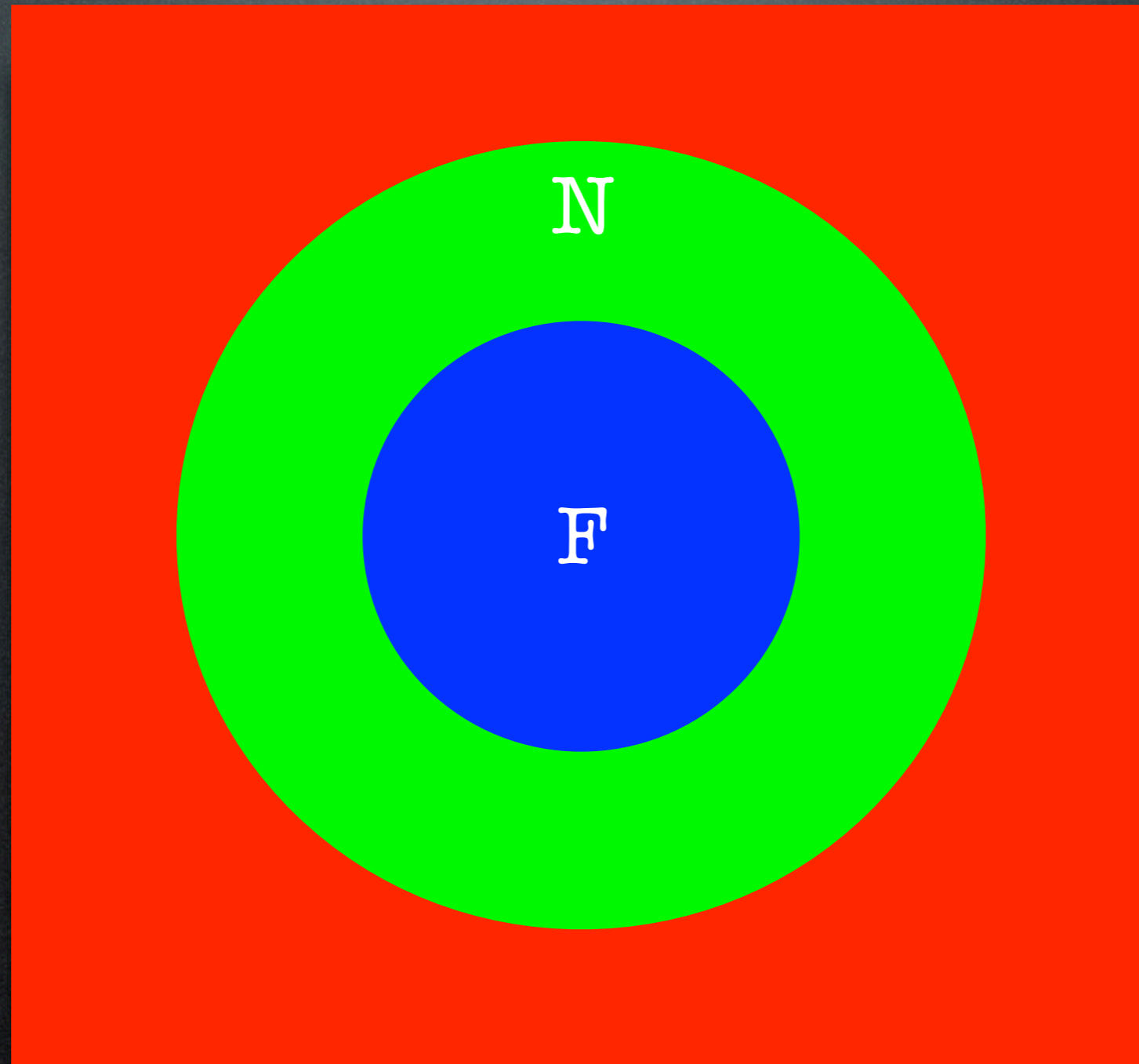
System F for N

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- Predictability properties? (✓)

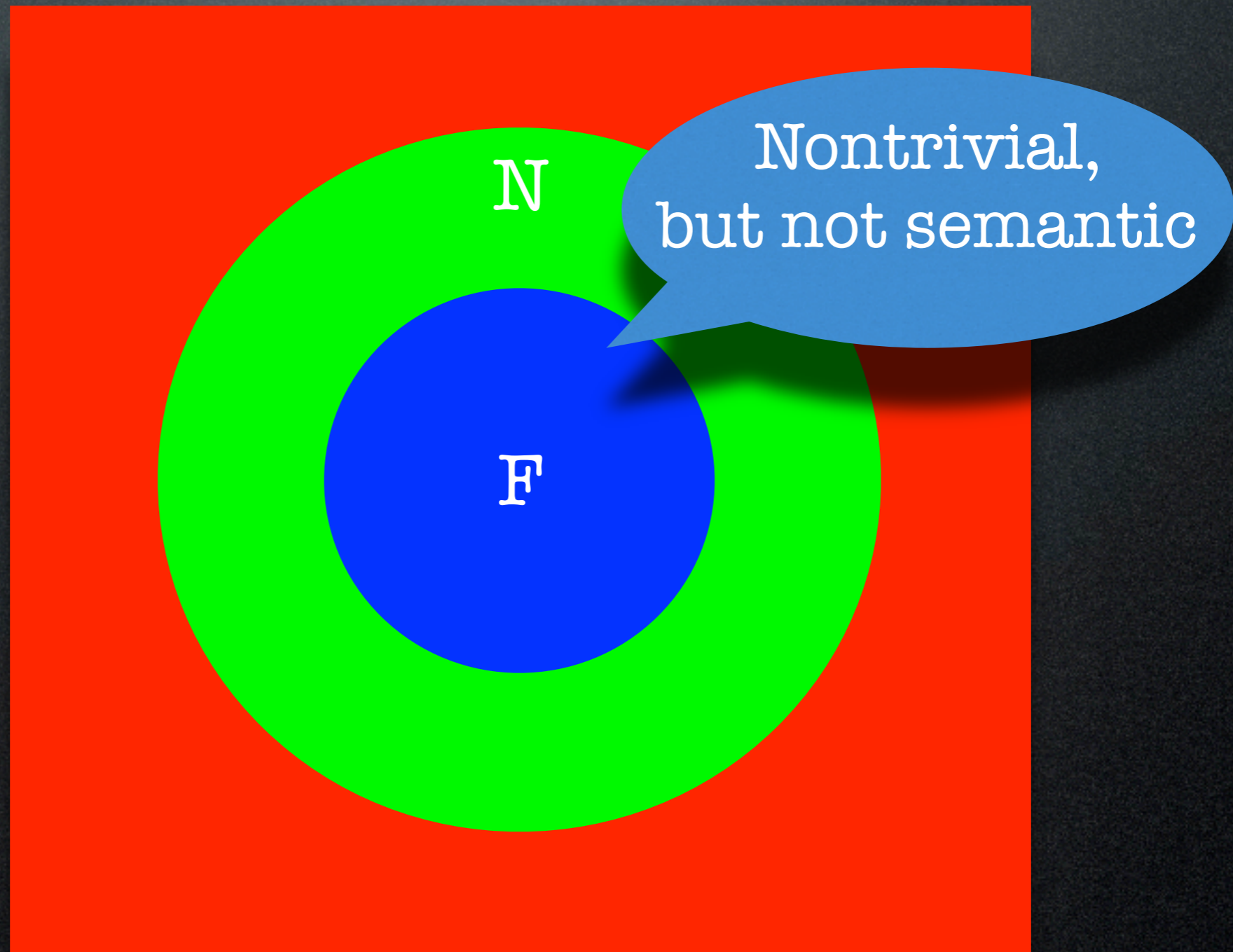
System F for N

- Sound? ✓
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- Predictability properties? (✓)
- Unimprovability? Hmm...

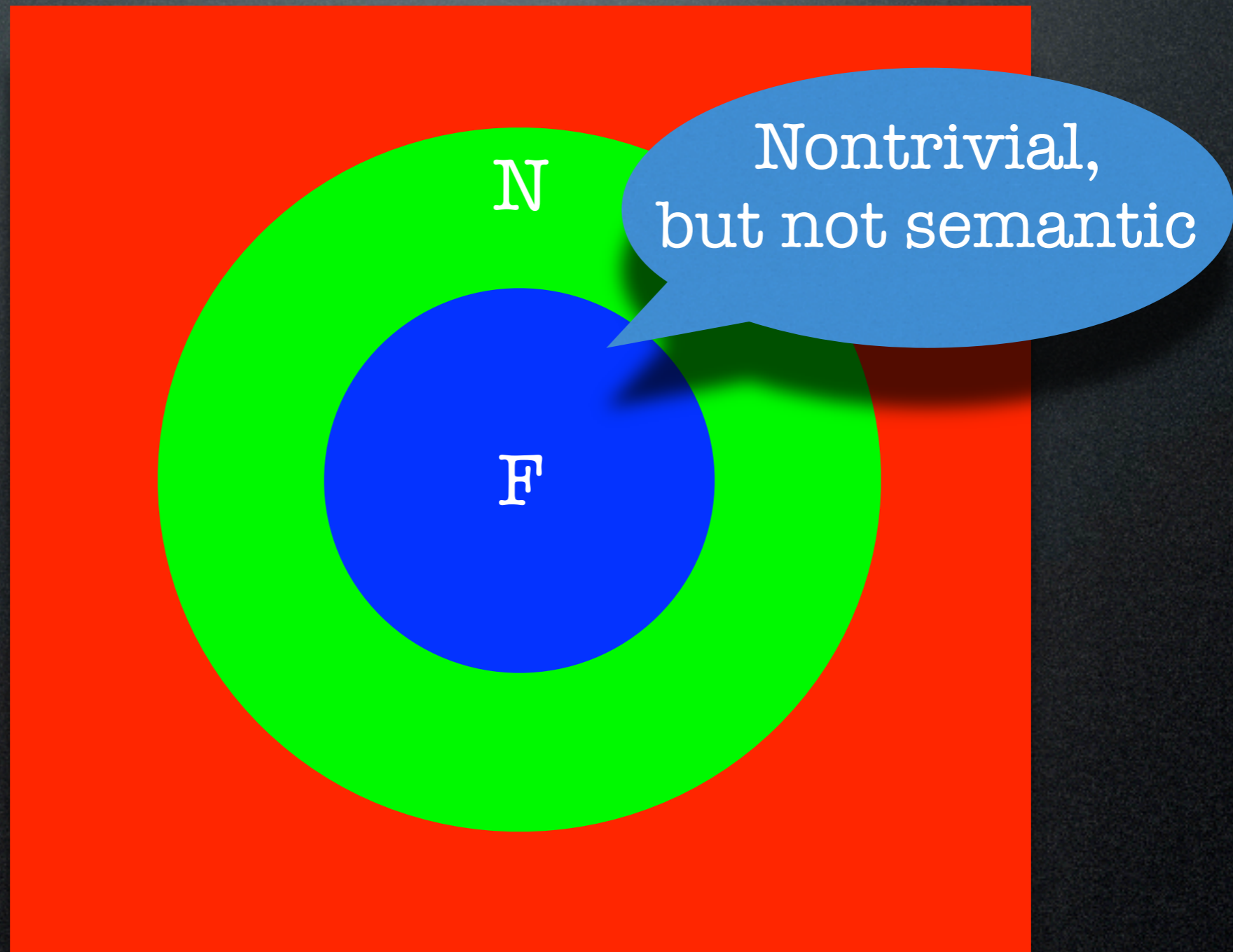
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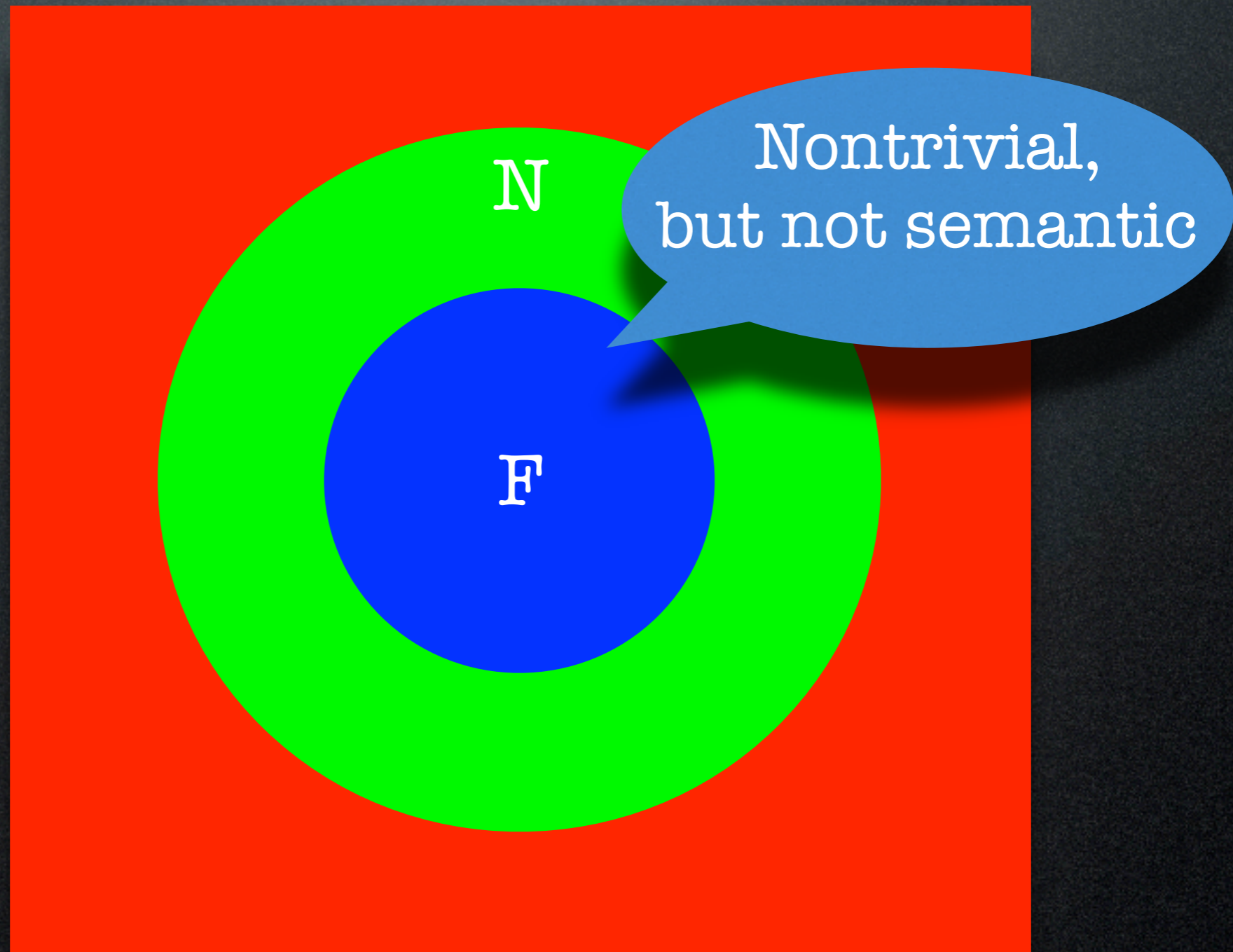


Static Analysis for N



Theorem: F is undecidable

Static Analysis for N



Theorem: F is undecidable

System F for N: Improvability

- Okay for System F to be undecidable, as long as there is no **better** approximation of N that is decidable (**more** efficient).

Recursive inseparability

Definition:

Let $A \subseteq P$. A is **recursively inseparable** from P if there is no B such that $A \subseteq B \subseteq P$ and B is decidable (“recursive”).

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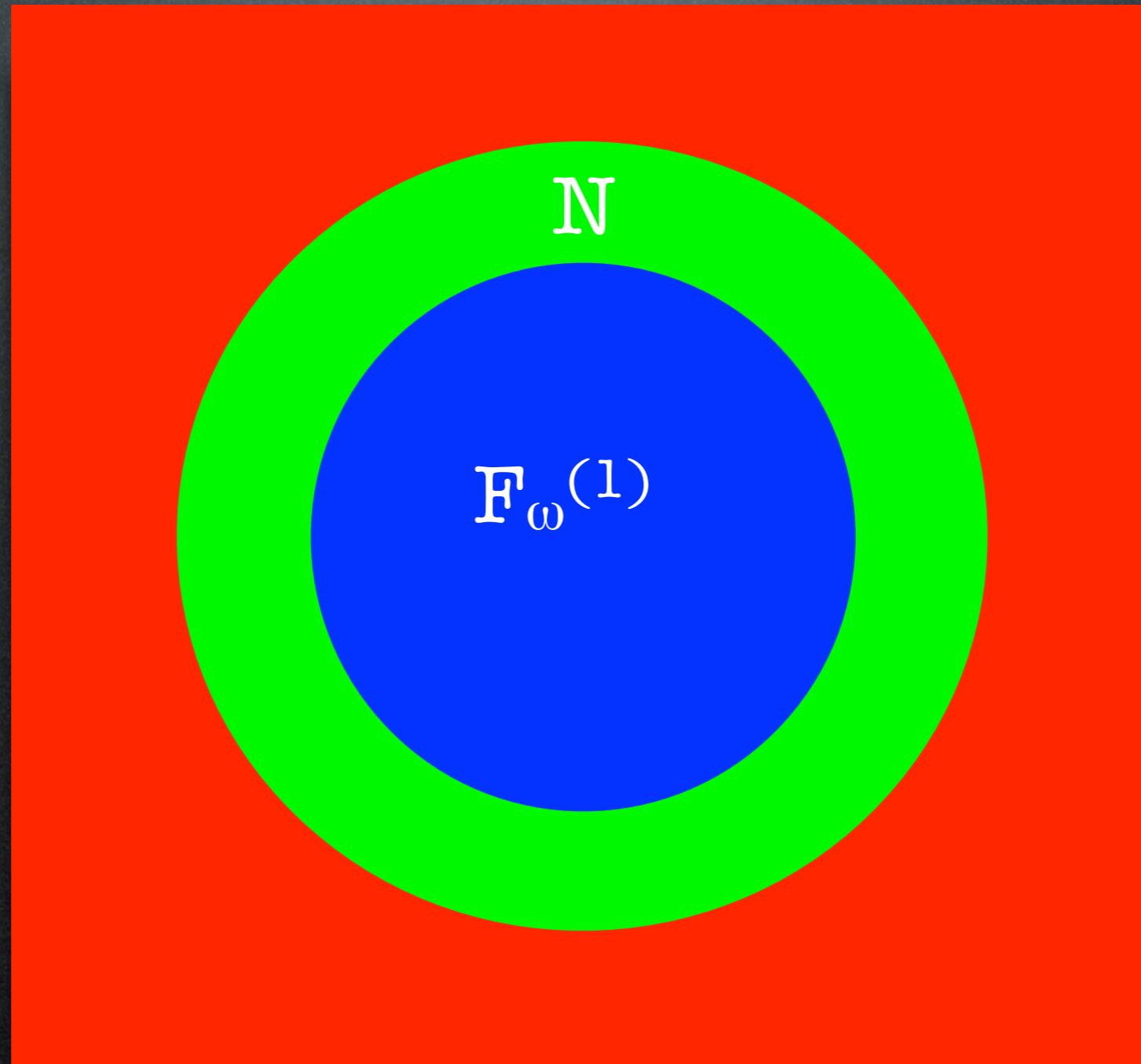
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 - There **may** be a (type) system out there that extends System F , guarantees N **and** is decidable.

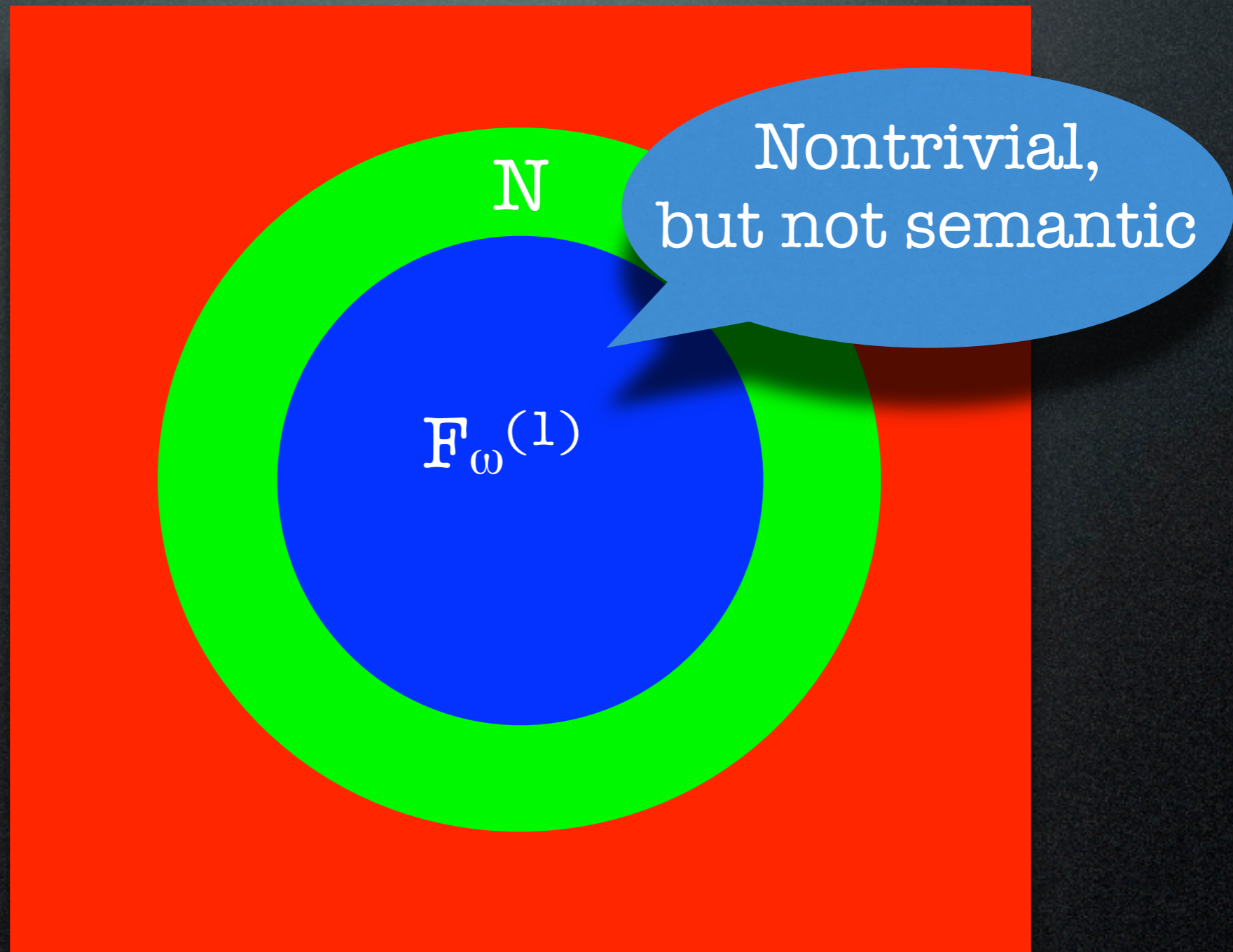
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- The answer is...
 - **We don't know!**
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 - We don't know whether F is improvable
 - There **may** be a (type) system out there that extends System F , guarantees N **and** is decidable.
- I don't believe it, though

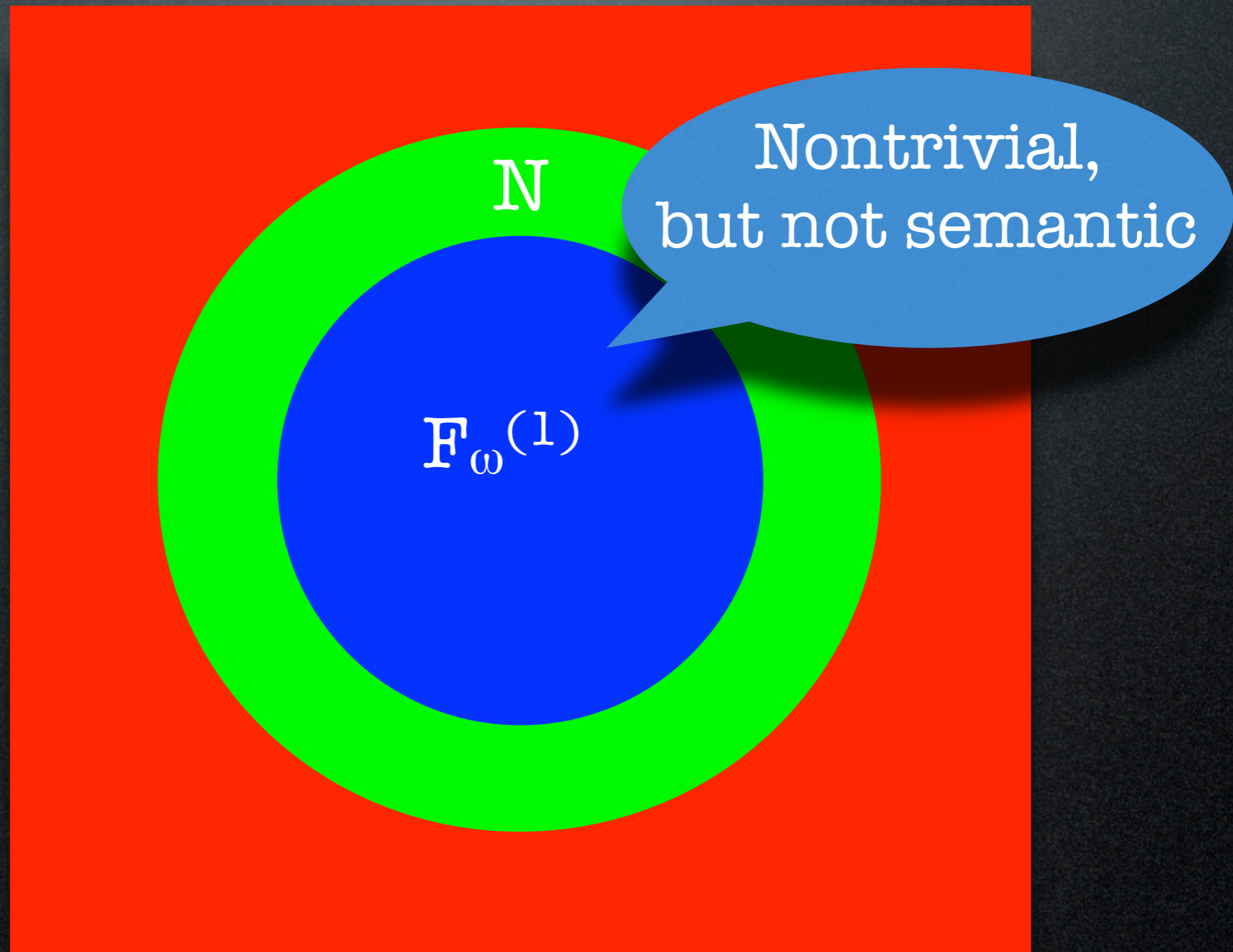
Another analysis for N



Another analysis for \mathbb{N}

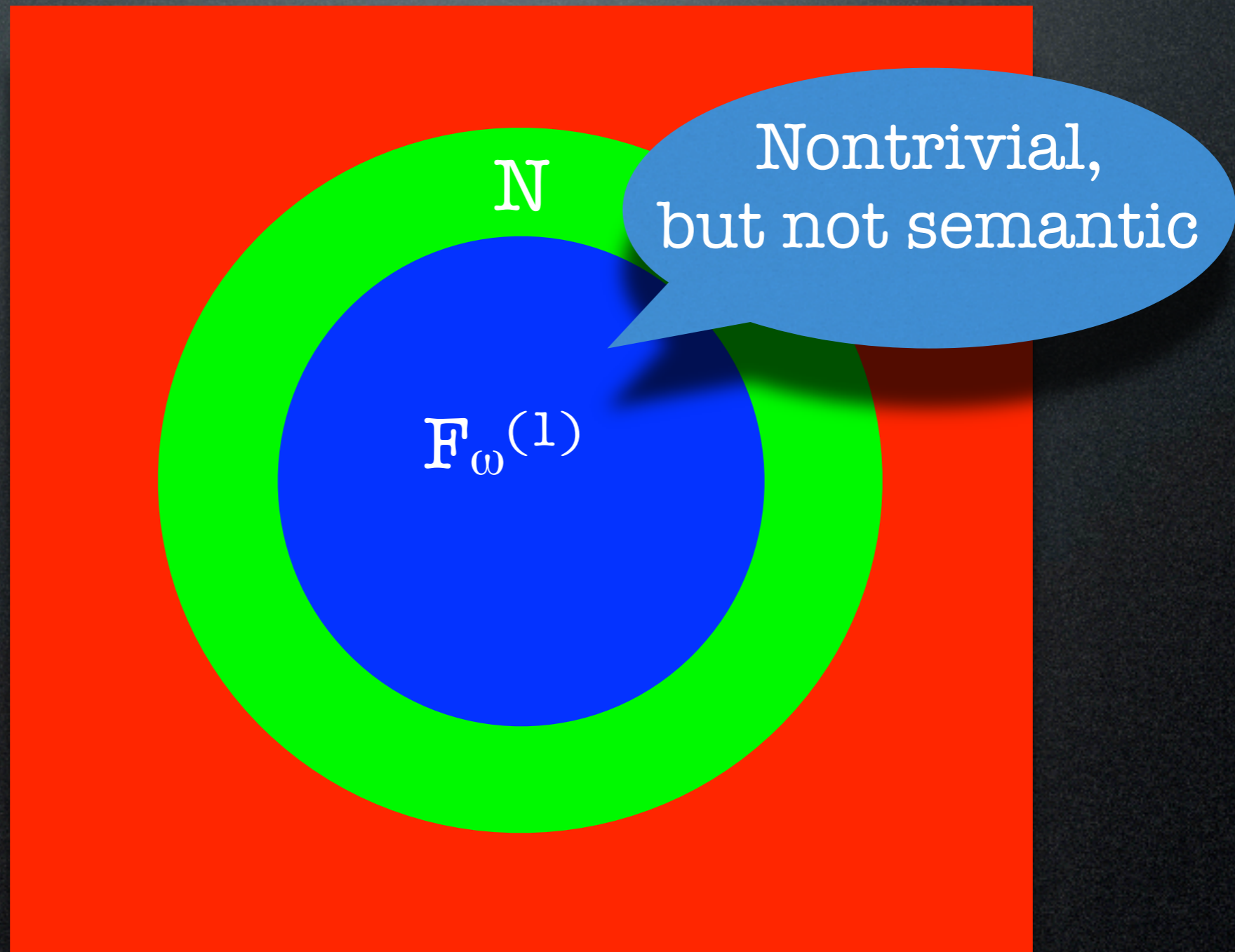


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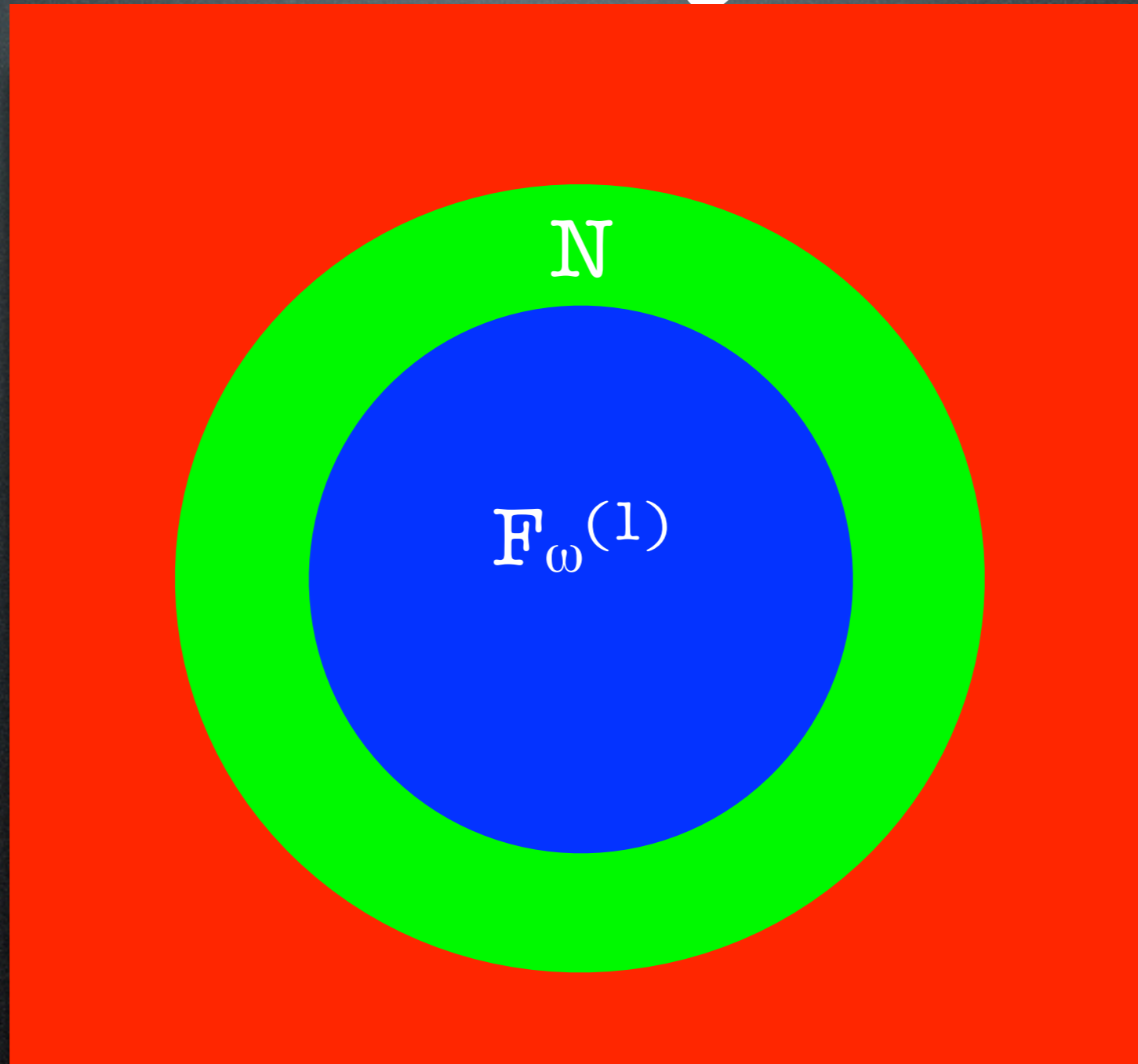
Theorem: $F_\omega^{(1)}$ is undecidable

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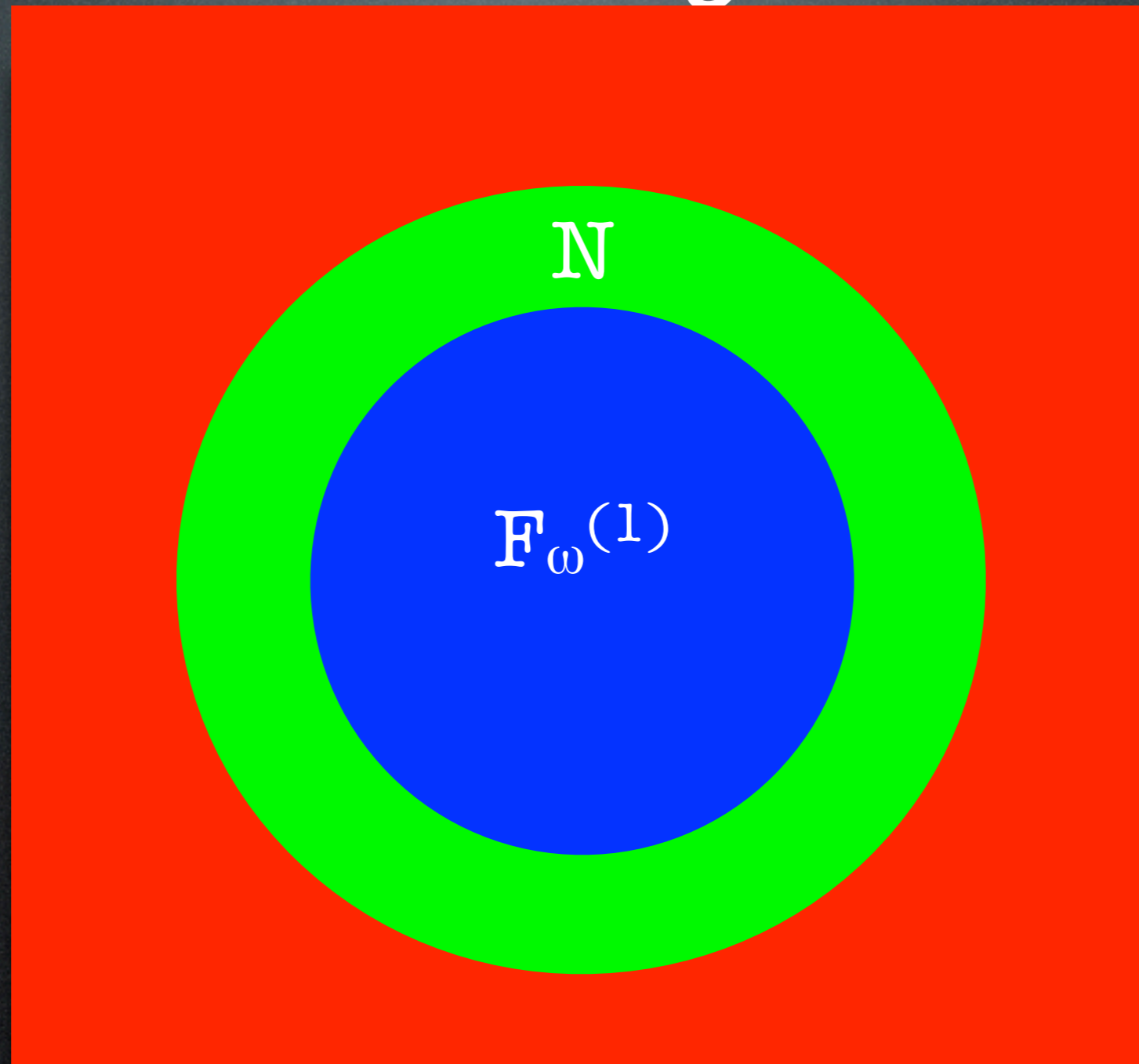


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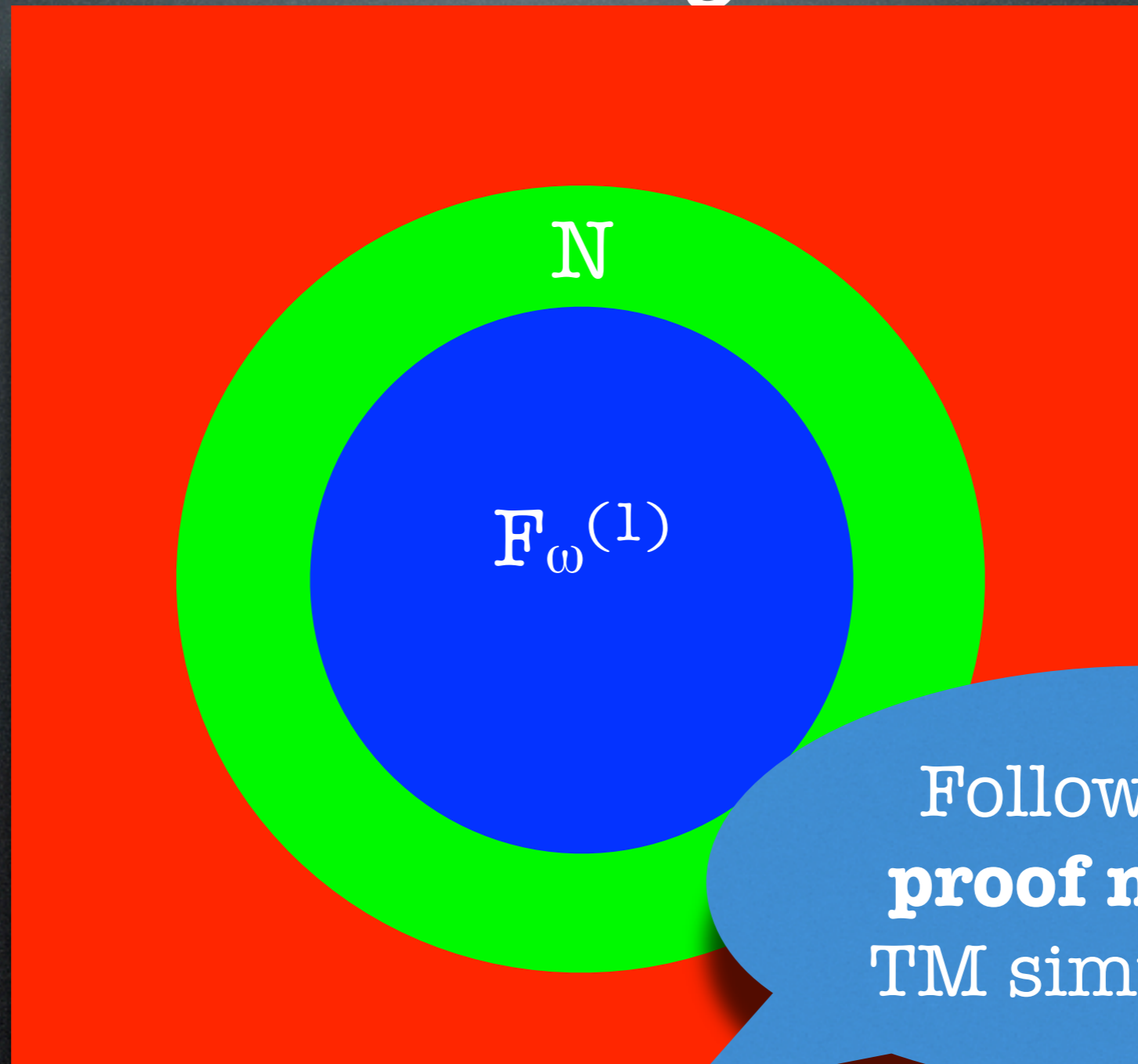


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Theorem: $F_{\omega}^{(1)}$ is recursively inseparable from \mathbb{N}

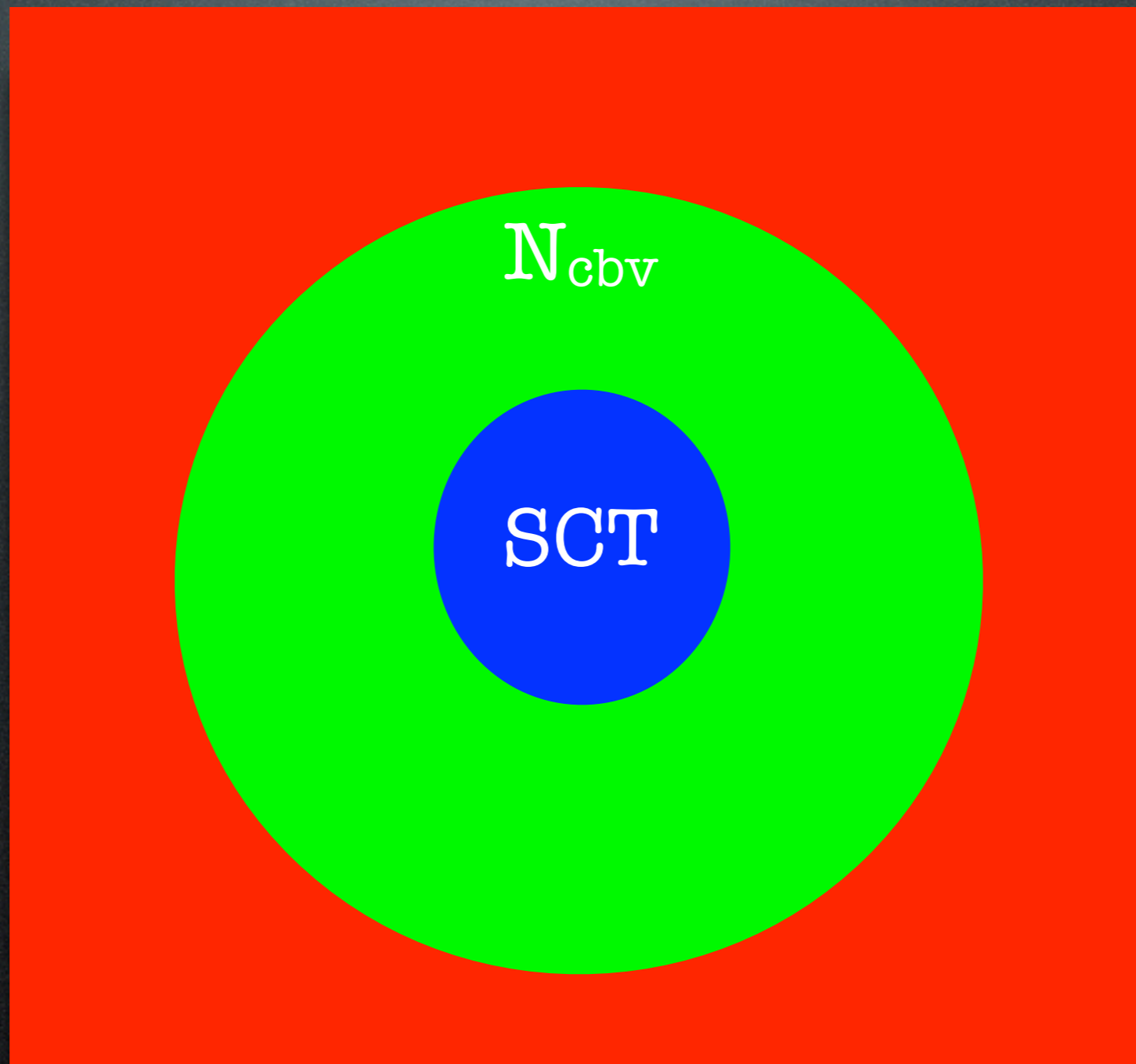
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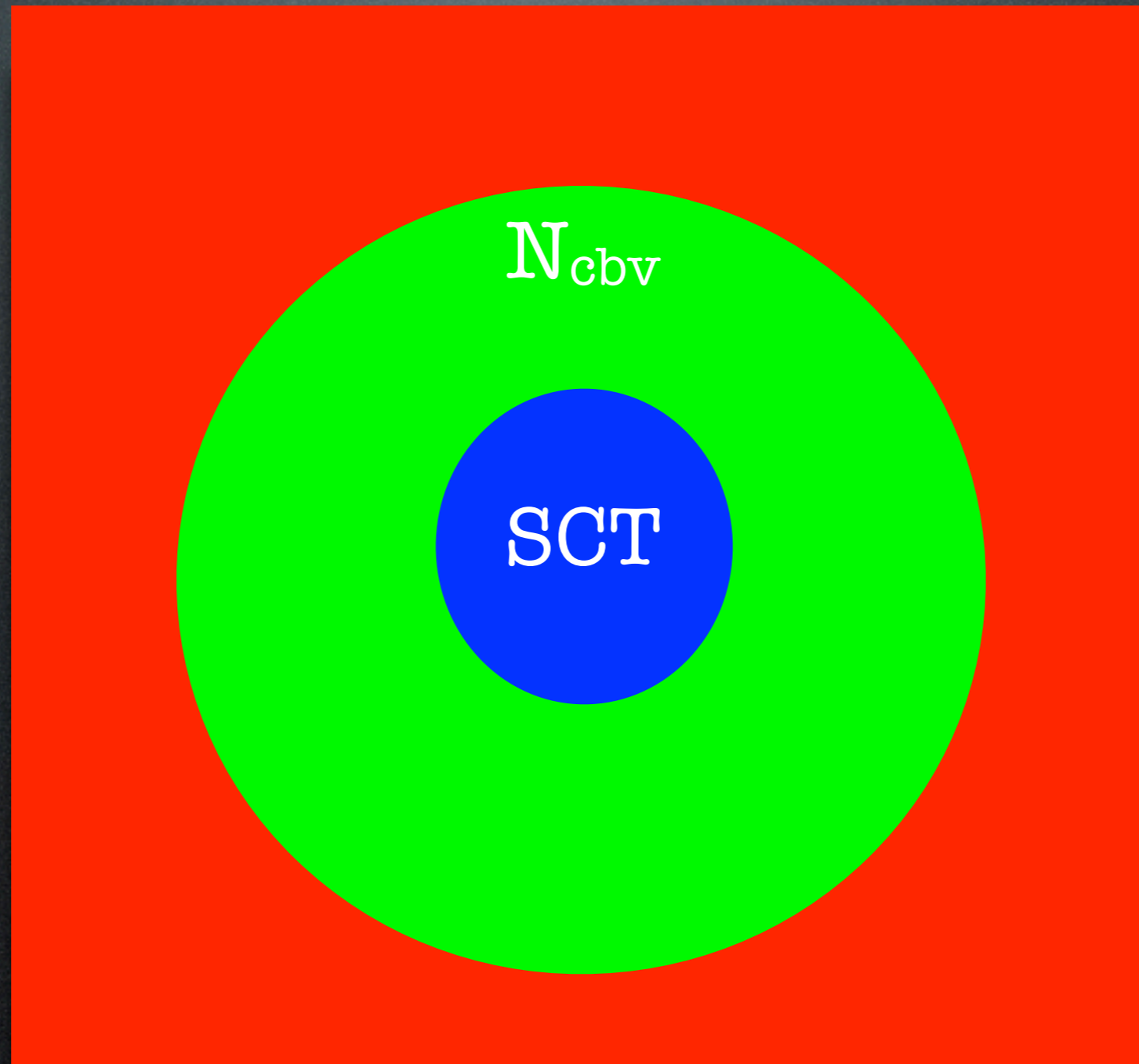
Follows from
proof method:
TM simulation

Theorem: $F_{\omega}^{(1)}$ is recursively
inseparable from N

SCT for N

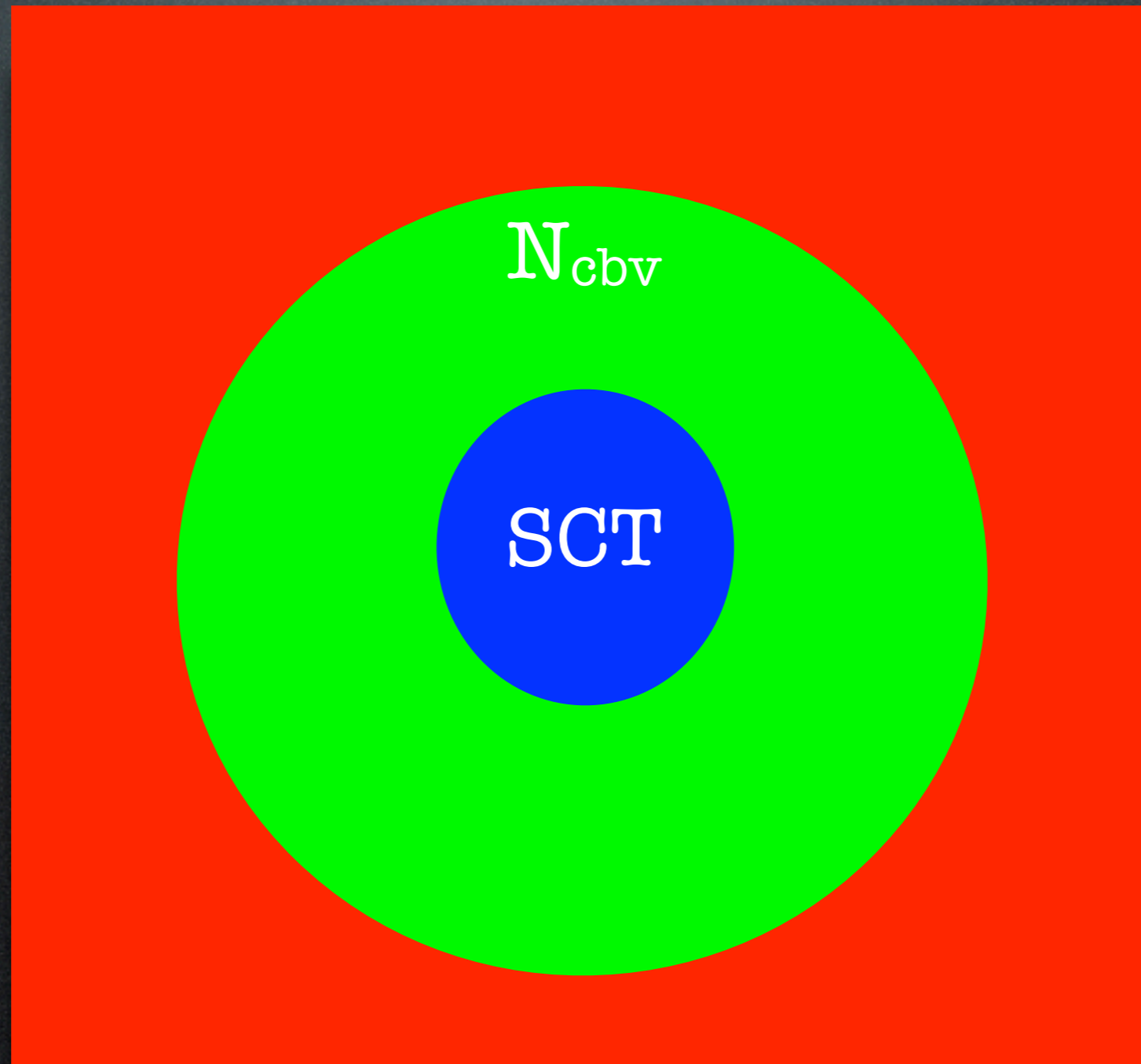


SCT for N



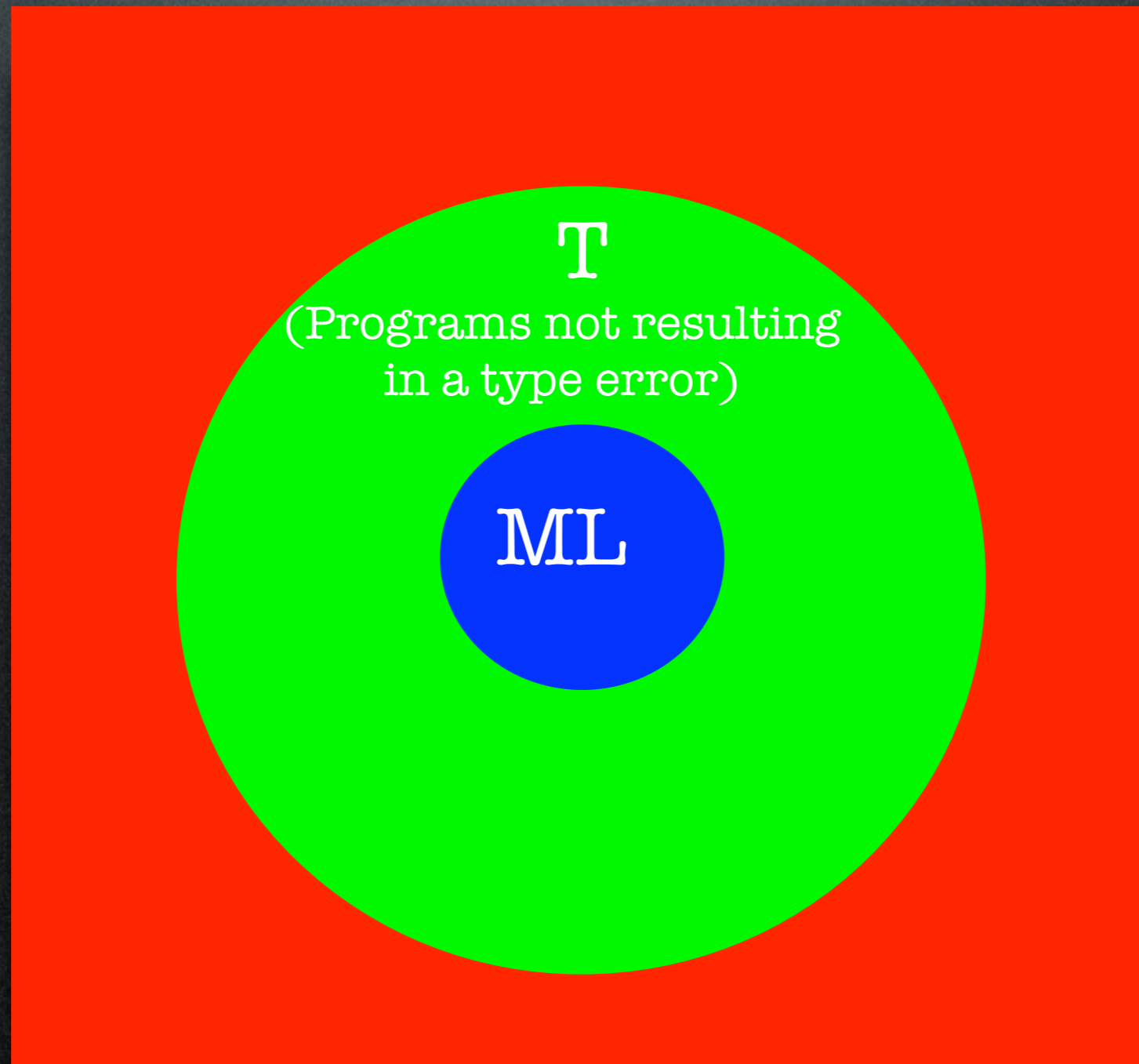
Theorem: SCT is decidable.
(Complexity?)

SCT for N

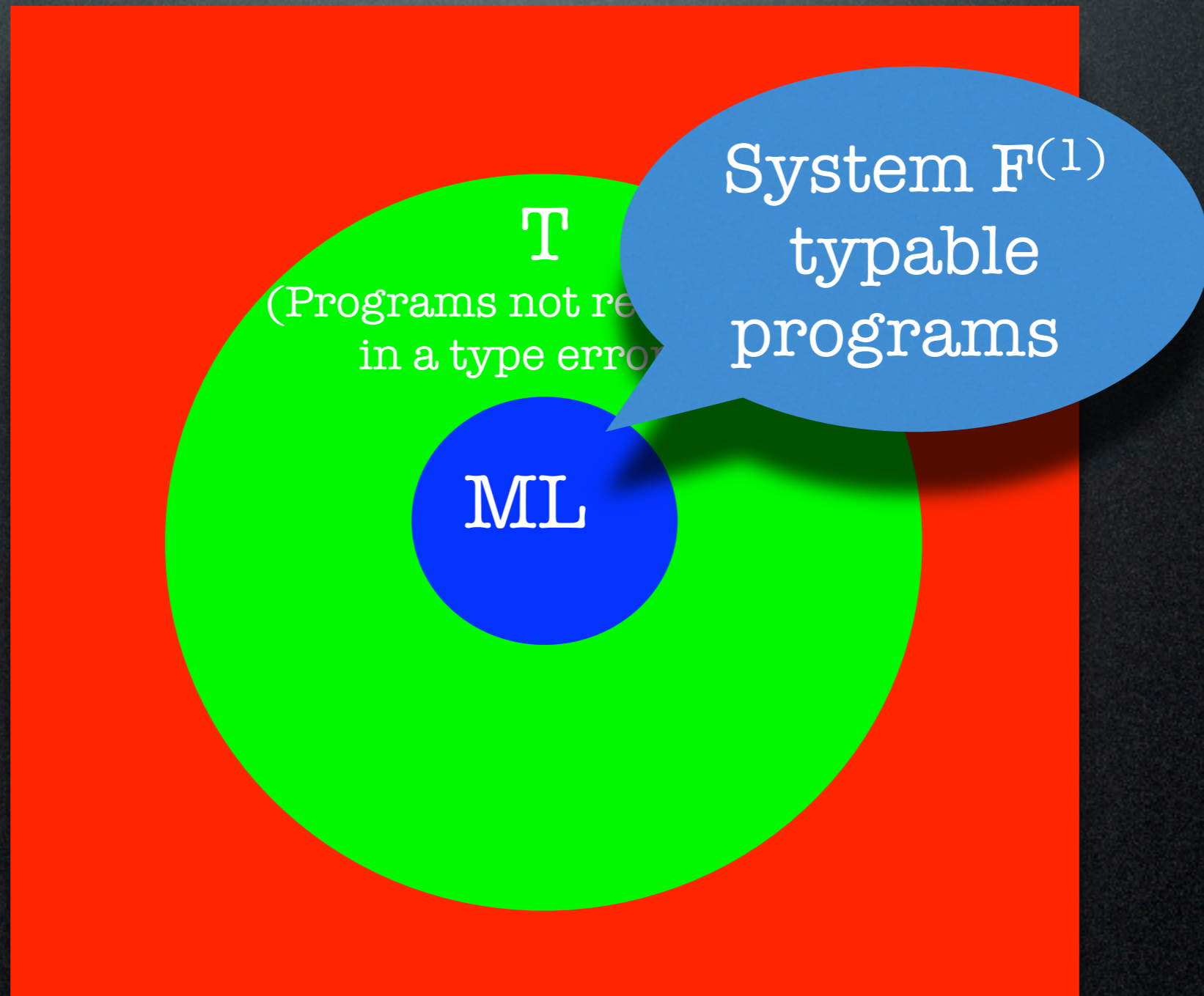


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An analysis for type error freeness



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ML goodness

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 - **Invariant** under let-reduction

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ML goodness

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 - **Preservation** under beta-reduction

ML goodness

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ML is
“semantic” for
let-expressions:
Context sensitivity
for nonrecursive
definitions

ML typability as static analysis for type error freeness

- Is ML typability improvable?

ML typability as static analysis for type error freeness

Theorem: Let $ML \subseteq B \subseteq T$.
Then B is DEXPTIME-hard.

ML typability as static analysis for type error freedom

No, ML is not
improvable for type
error detection

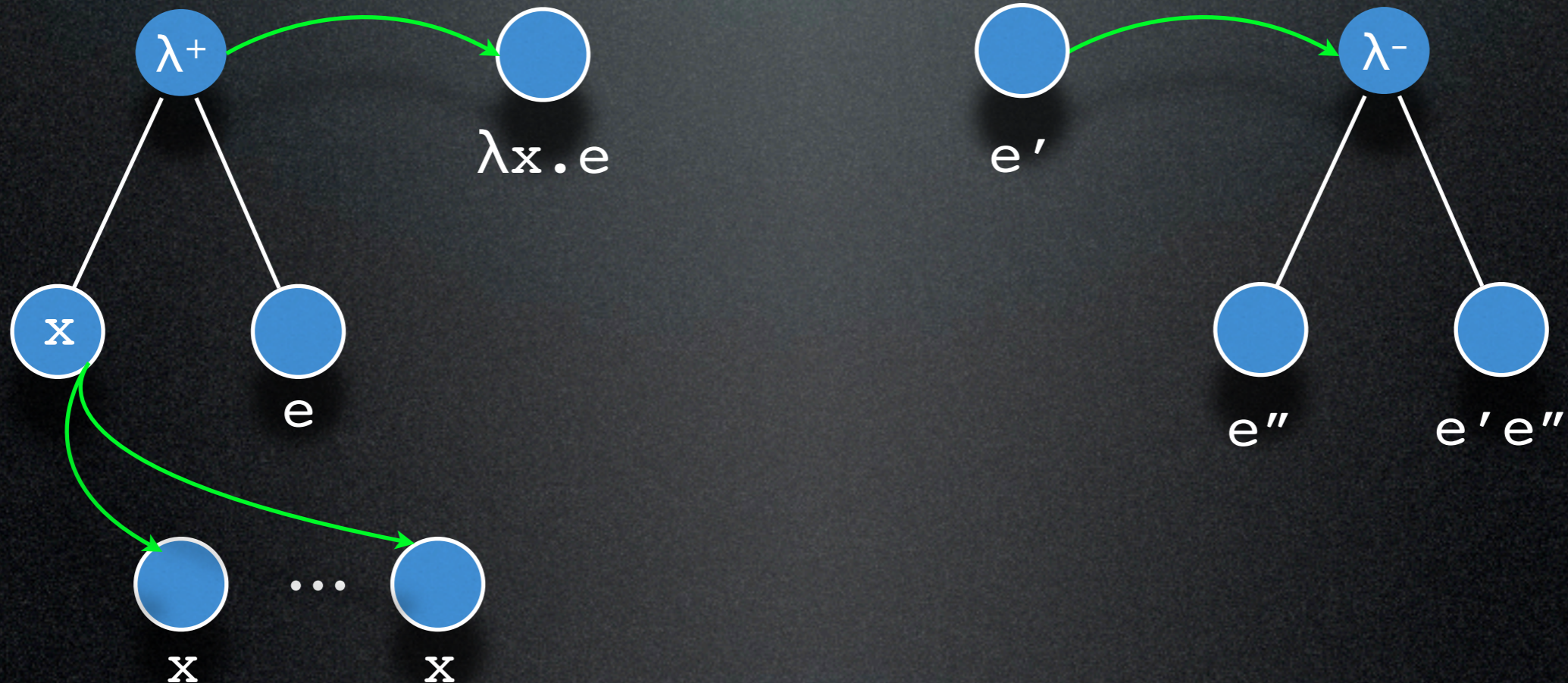
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mVFA

OCFA in direct style

Build **graph** with **flow** and **tree** edges. One node per subexpression, plus some extra ones.

1. Base flow rules, resulting in graph G:



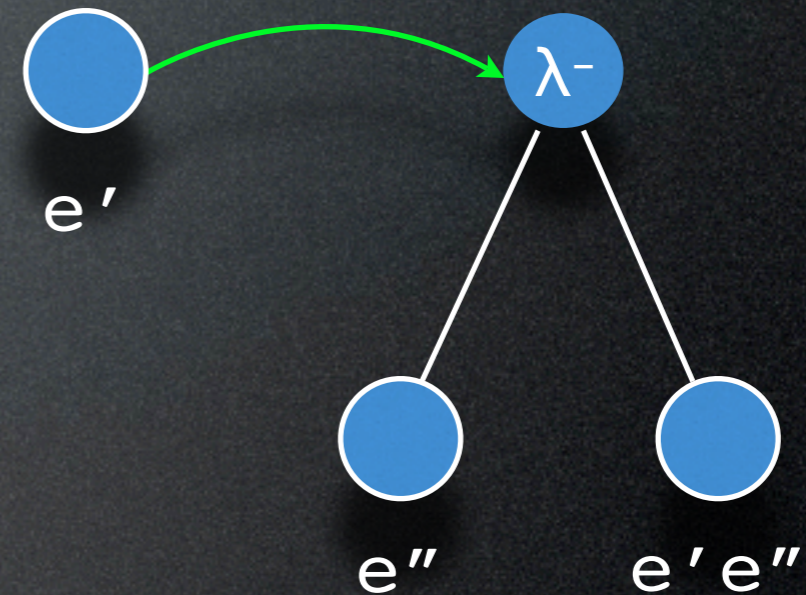
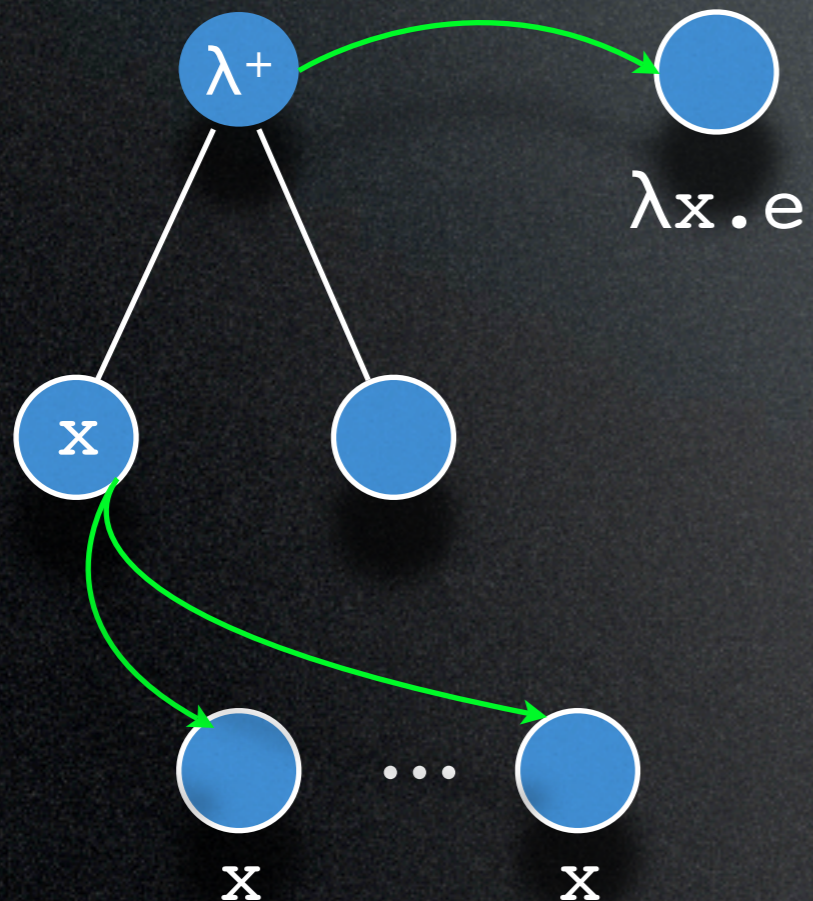
mVFA

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$O(n)$ nodes

$O(n)$ edges

Out- and indegree $\mathbf{1}, \lambda$ if affine λ -term



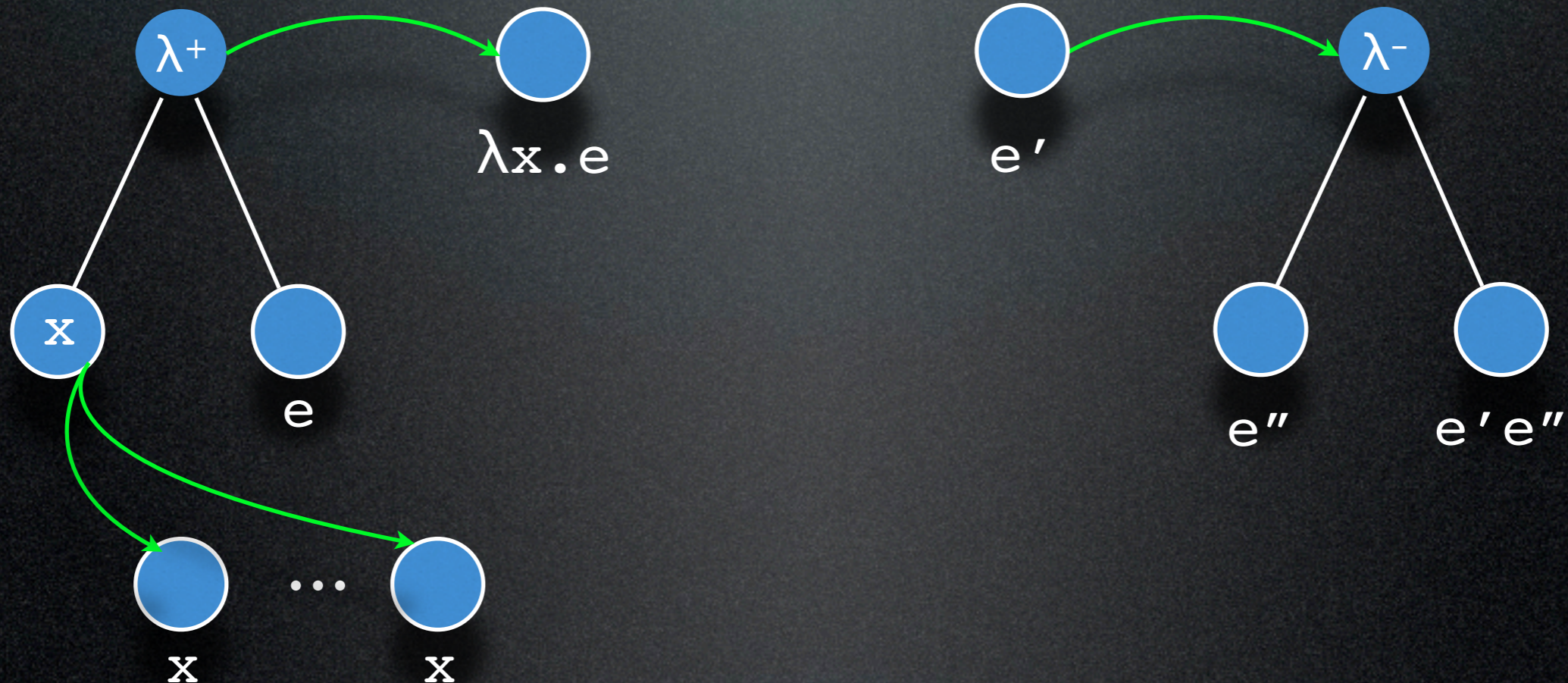
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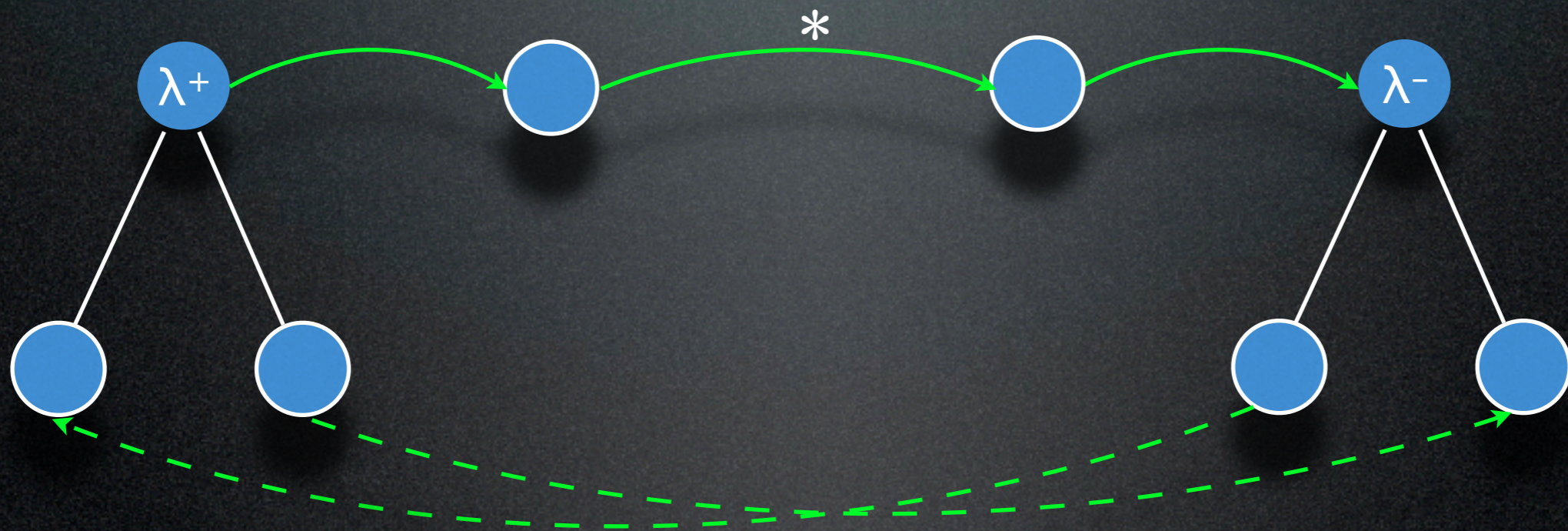
Out- and indegree $\mathbf{1}, \lambda$ if affine λ -term



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OCFA in direct style

2. Closure rule:



mVFA

OCFA in direct style

Algorithm:

Close base graph under closure rule, resulting in graph G .

mVFA

OCFA in direct style

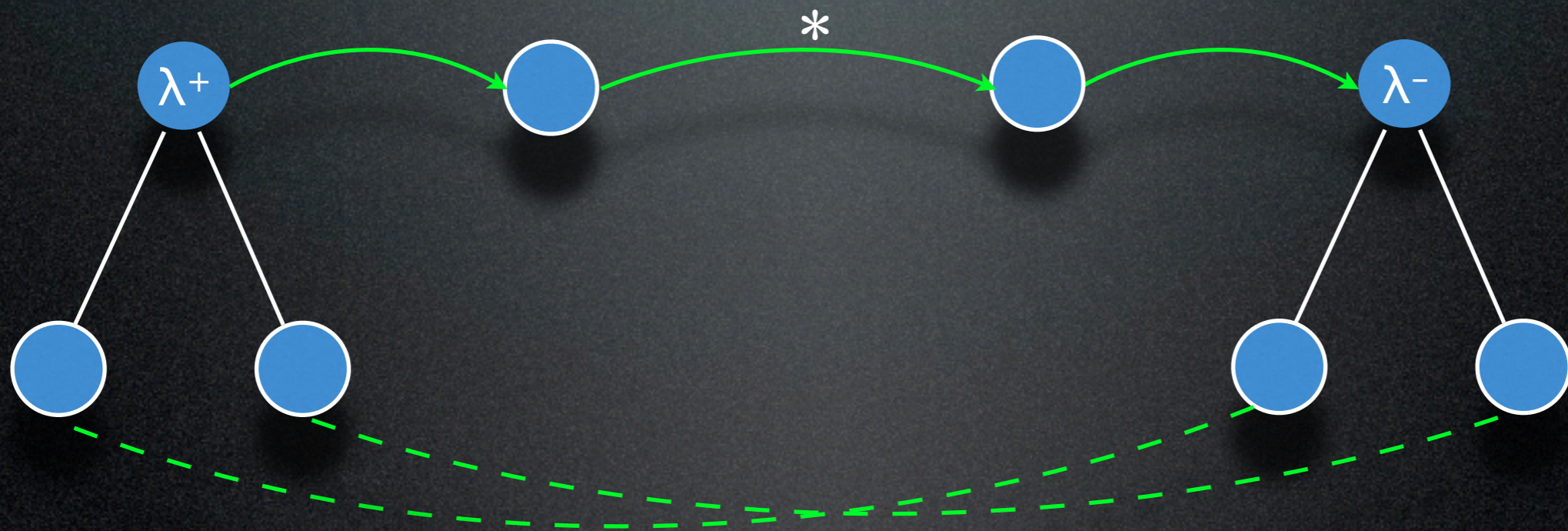
Theorem: mVFA can be implemented in time $O(d m^* + p n + q)$, where

- n : number of nodes
- d : maximum outdegree of G ,
- m^* : number of flow edges in G^*
(flow-transitive closure of G),
- p : number of closure rule applications.
- q : number of reachability queries

sVFA

Simple monomorphic VFA

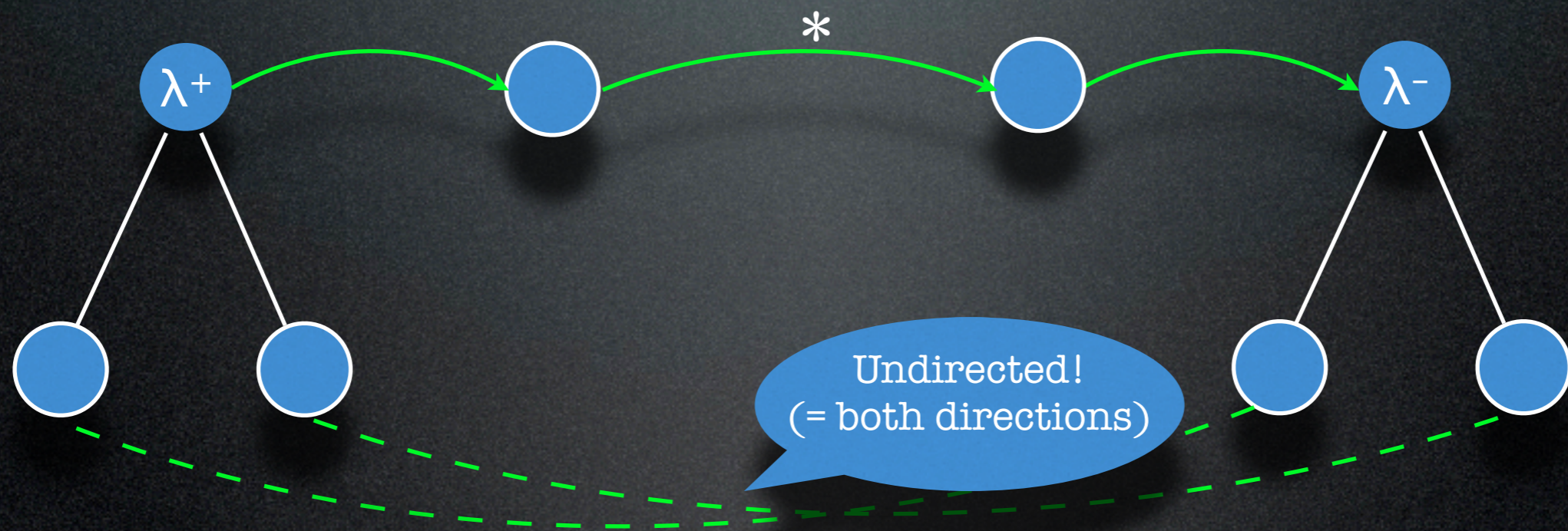
1. Base rules: As for mVFA
2. Closure rule:



sVFA

Simple monomorphic VFA

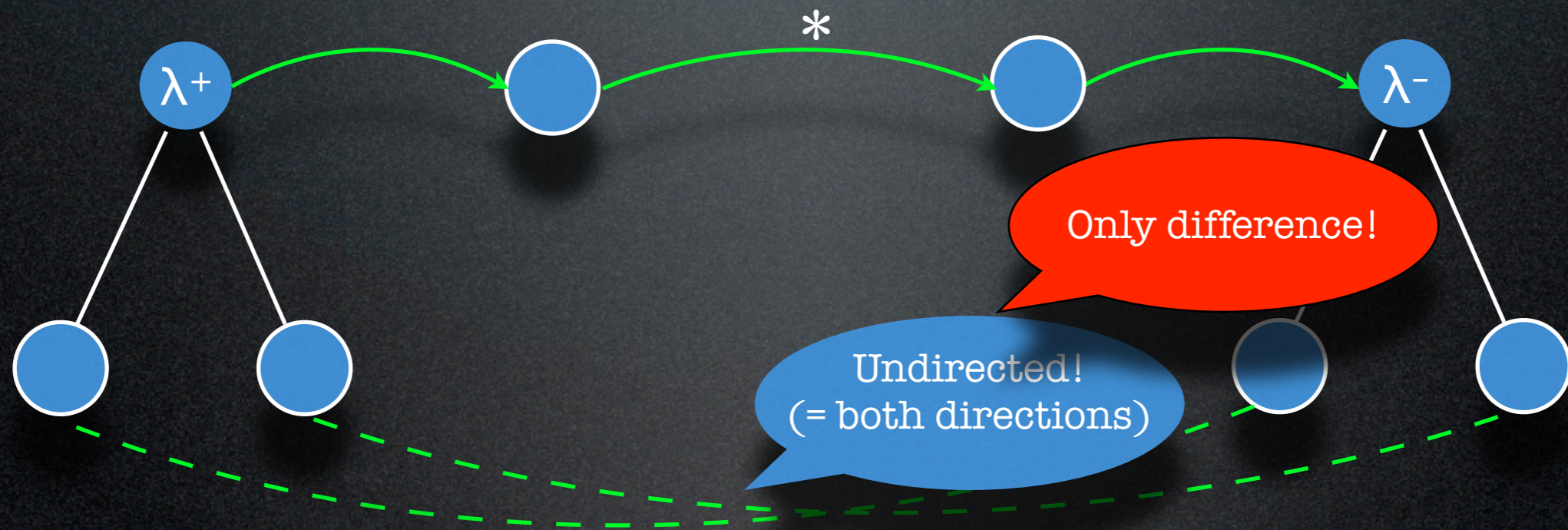
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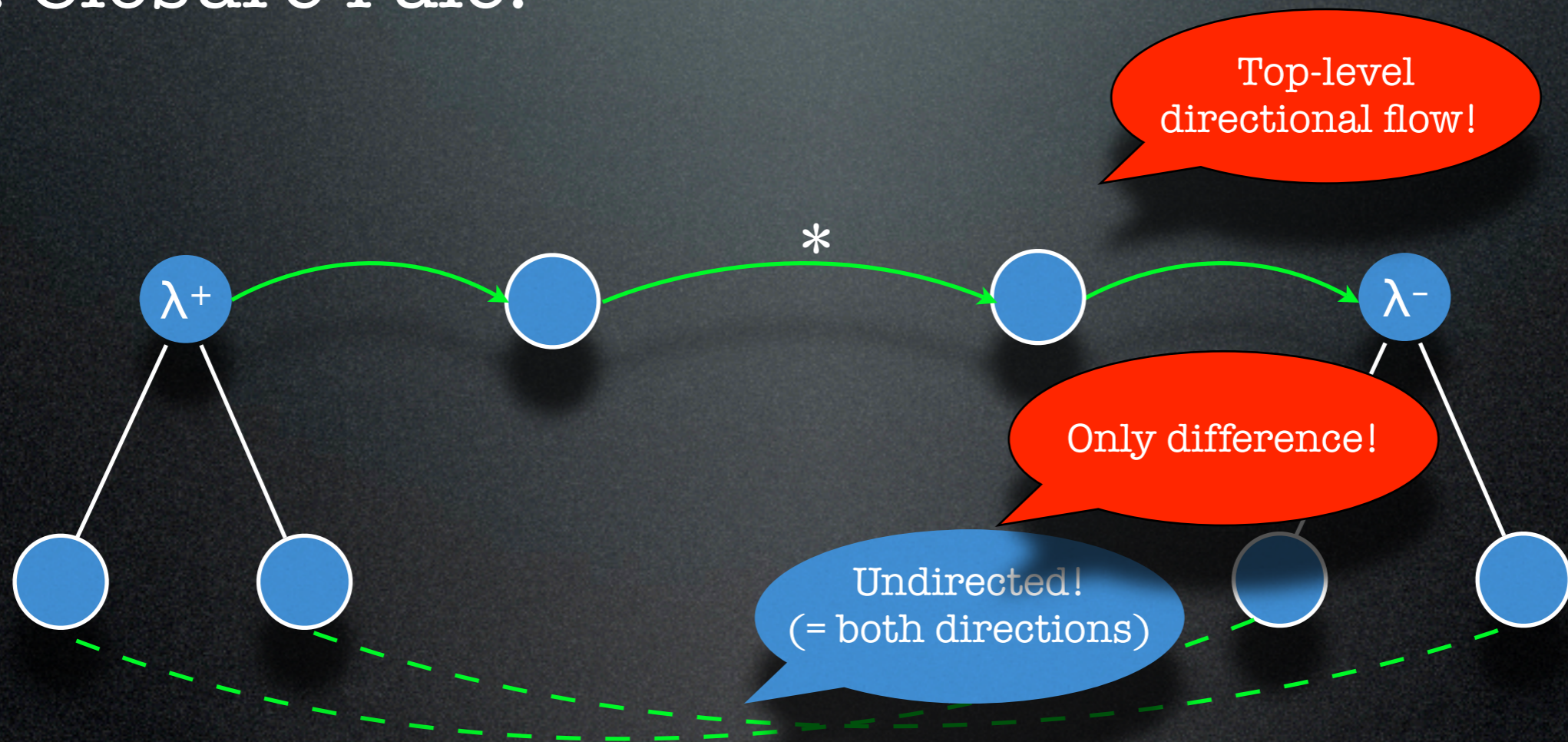
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Simple monomorphic VFA

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sVFA

Simple monomorphic VFA

Algorithm:

Close base graph under closure rule by unification closure, using union/find data structure.

sVFA

Simple monomorphic VFA

Theorem: sVFA can be implemented in time $O(n \alpha(n,n) + q n)$, where

- $\alpha(m,n)$: inverse Ackerman function
- q : number of reach set queries

Henglein, Simple Closure Analysis, TOPPS TR D-193, 1992

sVFA

Simple monomorphic VFA

- Very fast in practice
- Applications:
 - **Binding-time analysis**
Henglein, Efficient Type Inference for Higher-Order Binding-Time Analysis, FPCA 1991
 - **Dynamic type inference for Scheme**
Henglein, Global tagging optimization by type inference, LFP 1992
 - **Closure analysis in Similix**
Bondorf, Jørgensen, Efficient Analysis for Realistic Off-Line Partial Evaluation, JFP 1993
- No significant reduction in precision vis a vis mVFA observed

subO-CFA

...

(similar characterization)

sVFA predictability

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- sVFA is invariant under

sVFA predictability

- sVFA is invariant under
 - linear beta-reduction

sVFA predictability

- sVFA is invariant under
 - linear beta-reduction
 - eta-reduction (for pure λ -terms)

sVFA predictability

Theorem:

sVFA reachability is P-complete

Van Horn, Mairson, Flow Analysis, Linearity, and PTIME, SAS 2008

sVFA predictability

also for
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Then B is P-hard.

sVFA predictability

also for
subO-CFA

Theorem:

sVFA reachability

Van Horn, Mairson

Follows from proof
method used:

invariance under linear
 λ -term reduction

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Adaptiveness

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Adaptiveness

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- A_1 is **adaptive** over A_0 if its (time) complexity is < 2 times the complexity of A_0 on instances from S_0 .
- A_1 is allowed to take substantially more time than A_0 on instances outside S_0 .

Adaptiveness

- **Intuition:** A static analysis algorithm should **not be slower** on instances where **a less precise** analysis algorithm manages to compute the semantically **correct** result (on “easy instances”).

Questions

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- Are the various kCFA-algorithms adaptive (over sVFA or subO-CFA)?

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- ...