



Relating Cryptography and Polymorphism

Eijiro Sumii

Joint Work with Benjamin Pierce

University of Pennsylvania



Main Result

- ◆ Adaptation of *relational parametricity* from polymorphic λ -calculus to *cryptographic \mathbf{I} -calculus*

$$e \sim e' : \tau \Rightarrow e \approx e'$$

- useful for reasoning about programs using encryption
 - E.g., (in)correctness proof of security protocols



Outline

- ◆ Background
- ◆ Parametricity for Type Abstraction
- ◆ Cryptographic λ -Calculus
- ◆ Parametricity for Encryption
- ◆ Current Status and Future Work



Two Approaches to Information Hiding

- ◆ Type abstraction

- conceals the types of data

- existential types, universal types, modules, packages, etc.

- ◆ Encryption

- obfuscates the values of data



Example

- ◆ Using type abstraction:

pack int, $\langle 3, \lambda x. x \bmod 2 \rangle$
as $\alpha. \alpha \times (\alpha \rightarrow \text{int})$

- ◆ Using encryption:

new k in $\langle \{3\}_k, \lambda \{x\}_k. x \bmod 2 \rangle$

new k in ...

generate a fresh key k

$\{v\}_k$

a value v encrypted by the key k



Secrecy as Non-Interference as Contextual Equivalence

- ◆ *Relational parametricity* [Reynolds 83]
(or *representation independence* [Mitchell 86]):

$$\begin{aligned} & \text{pack int, } \langle 3, \lambda x. x \bmod 2 \rangle \\ & \text{as } \alpha. \alpha \times (\alpha \rightarrow \text{int}) \\ \approx & \text{ pack int, } \langle 1, \lambda x. x \bmod 2 \rangle \\ & \text{as } \alpha. \alpha \times (\alpha \rightarrow \text{int}) \end{aligned}$$

- ◆ This work:

$$\begin{aligned} & \text{new } k \text{ in } \langle \{3\}_k, \lambda \{x\}_k. x \bmod 2 \rangle \\ \approx & \text{ new } k \text{ in } \langle \{1\}_k, \lambda \{x\}_k. x \bmod 2 \rangle \end{aligned}$$



Outline

- ◆ Background
- ◆ Parametricity for Type Abstraction
- ◆ Cryptographic λ -Calculus
- ◆ Parametricity for Encryption
- ◆ Current Status and Future Work




Principle of Parametricity: "Related" Values are Equivalent

$\varphi \quad e \sim e' : \tau$ for some φ




? $f e$? =? $f e'$? for any $f : \tau \rightarrow \text{bool}$

- ◆ \sim is defined by induction on τ
- ◆ φ defines the case of abstract types, mapping each free type variable to a relation between values of its concrete types



Definition of the Logical Relation (1/2)

- ◆ Values of a base type (e.g. int) are related iff they are equal
- ◆ Functions are related iff they map related arguments to related results
- ◆ Pairs are related iff their elements are respectively related



Definition of the Logical Relation (2/2)

- ◆ Packages are related iff their implementations can be related

$$\varphi \text{ pack } \tau, v \text{ as } \alpha. \sigma \sim \text{pack } \tau', v' \text{ as } \alpha. \sigma : \alpha. \sigma \Leftrightarrow$$

$$\varphi, \alpha \mapsto r \quad v[\tau/\alpha] \sim v'[\tau'/\alpha] : \sigma$$

for some $r \subseteq \tau \times \tau'$

- ◆ Values of an abstract type α are related iff they are related by $\varphi(\alpha)$

$$\varphi \quad v \sim v' : \alpha \Leftrightarrow (v, v') \in \varphi(\alpha)$$



Example

$$\alpha \mapsto \{(3, 1)\}$$
$$\langle 3, \lambda x. x \bmod 2 \rangle \sim \langle 1, \lambda x. x \bmod 2 \rangle$$
$$: \alpha \times (\alpha \rightarrow \text{int})$$
$$\Downarrow$$
$$\text{pack int, } \langle 3, \lambda x. x \bmod 2 \rangle$$
$$\text{as } \alpha. \alpha \times (\alpha \rightarrow \text{int})$$
$$\sim \text{pack int, } \langle 1, \lambda x. x \bmod 2 \rangle$$
$$\text{as } \alpha. \alpha \times (\alpha \rightarrow \text{int}) : \alpha. \alpha \times (\alpha \rightarrow \text{int})$$



Outline

- ◆ Background
- ◆ Parametricity for Type Abstraction
- ◆ Cryptographic λ -Calculus
- ◆ Parametricity for Encryption
- ◆ Current Status and Future Work



Cryptographic λ -Calculus: Syntax and Semantics

Simply-typed call-by-value λ -calculus +
shared-key cryptographic primitives

- ◆ $e ::= \dots \mid k \mid \{e_1\}_{e_2} \mid \text{let } \{x\}_{e_1} = e_2 \text{ in } e_3 \text{ else } e_4$
- ◆ $\tau ::= \dots \mid \text{key} \mid \text{bits}(\tau)$

$\text{let } \{x\}_k = \{v\}_{k'} \text{ in } e \text{ else } e'$
 $\rightarrow e[v/x]$ if $k = k'$, e' otherwise

Cryptographic λ -Calculus: Typing Rules


$$\Gamma \quad k : \text{key}$$
$$\frac{\Gamma \quad e1 : \tau \quad \Gamma \quad e2 : \text{key}}{\Gamma \quad \{e1\}_{e2} : \text{bits}(\tau)}$$
$$\Gamma \quad e1 : \text{key} \quad \Gamma \quad e2 : \text{bits}(\tau')$$
$$\Gamma, x : \tau' \quad e3 : \tau \quad \Gamma \quad e4 : \tau$$
$$\Gamma \quad \text{let } \{x\}_{e1} = e2 \text{ in } e3 \text{ else } e4 : \tau$$



Outline

- ◆ Background
- ◆ Parametricity for Type Abstraction
- ◆ Cryptographic λ -Calculus
- ◆ Parametricity for Encryption
- ◆ Current Status and Future Work




Parametricity Adapted

$\varphi \quad e \sim e' : \tau$ for some φ



? $f \ e?$ =? $f \ e'?$ for any $f : \tau \rightarrow \text{bool}$
s.t. $\text{dom}(\varphi) \cap \text{keys}(f) = \emptyset$

- ◆ \sim is defined by induction on τ , meaning that e and e' are equivalent and don't leak the secret keys
- ◆ φ defines the case of $\text{bits}(\tau)$, mapping each secret key to a relation between values encrypted by the key



Definition of the Logical Relation

- ◆ Keys are related iff they are equal *and non-secret*

$$\varphi \quad k \sim k' : \text{key} \iff k \notin \text{dom}(\varphi)$$

- ◆ Values encrypted by a secret key k are related iff they are related by $\varphi(k)$

$$\varphi \quad \{v\}_k \sim \{v'\}_k : \text{bits}(\tau) \iff (v, v') \in \varphi(k) \text{ if } k \in \text{dom}(\varphi)$$

$$\varphi \quad v \sim v' : \tau \text{ if } k \notin \text{dom}(\varphi)$$



Example

$k \mapsto \{(3,1)\} \quad \{3\}_k \sim \{1\}_k : \text{bits}(\text{int})$

$k \mapsto \{(3,1)\} \quad \lambda\{x\}_k. x \bmod 2$
 $\sim \lambda\{x\}_k. x \bmod 2 : \text{bits}(\text{int}) \rightarrow \text{int}$



$k \mapsto \{(3,1)\} \quad \langle \{3\}_k, \lambda\{x\}_k. x \bmod 2 \rangle \sim$
 $\langle \{1\}_k, \lambda\{x\}_k. x \bmod 2 \rangle$
 $: \text{bits}(\text{int}) \times (\text{bits}(\text{int}) \rightarrow \text{int})$

N.B.

$\lambda\{x\}_k. e \equiv \lambda z. \text{let } \{x\}_k = z \text{ in } e \text{ else } \perp$



Outline

- ◆ Background
- ◆ Parametricity for Type Abstraction
- ◆ Cryptographic λ -Calculus
- ◆ Parametricity for Encryption
- ◆ Current Status and Future Work



Current Status

- ◆ Treatment of fresh key generation, adapting [Stark 97]
- ◆ (In)correctness proof of a few security protocols, using the following encoding
 - principal = function from messages to messages (with its own continuation)
 - configuration = record of principals and non-secret keys
 - network and scheduler = "right" context
 - attacker = arbitrary context



Fresh Key Generation (1/2)

- ◆ Syntax

$$e ::= \dots \mid \text{new } x \text{ in } e$$

- ◆ Semantics

$$e \Downarrow (S)v$$

read as: "the expression e evaluates to the value v , generating the set S of fresh keys"
note that (S) is a binder



Fresh Key Generation (2/2)

◆ Logical relation

$$\varphi \quad e \sim e' : \tau \Leftrightarrow$$

$$e \Downarrow (\{k_1, \dots, k_n\} \oplus S) v_1,$$

$$e' \Downarrow (\{k_1, \dots, k_n\} \oplus S') v_2, \text{ and}$$

$$\varphi, k_1 \mapsto r_1, \dots, k_n \mapsto r_n \quad v_1 \sim v_2 : \tau$$

for some $k_1, \dots, k_n, r_1, \dots, r_n, S$ and S'

For example,

$$\begin{aligned} & \text{new } k \text{ in } \langle \{3\}_k, \lambda\{x\}_k. x \bmod 2 \rangle \sim \\ & \text{new } k \text{ in } \langle \{1\}_k, \lambda\{x\}_k. x \bmod 2 \rangle \\ & : \text{bits(int)} \times (\text{bits(int)} \rightarrow \text{int}) \end{aligned}$$



Future Work

- ◆ Recursive functions/types
cf. [Birkedal & Harper 97], [Crary & Harper],
etc.
- ◆ Concurrency and distribution
cf. spi-calculus [Abadi & Gordon 97],
evaluation semantics for CCS [Pitts 96],
typed equivalence in polymorphic
 π -calculus [Pierce & Sangiorgi 97],
parametricity in linear polymorphic
 λ -calculus [Pitts 2000], etc.