

Predicate Abstraction and CEGAR for Higher-Order Model Checking

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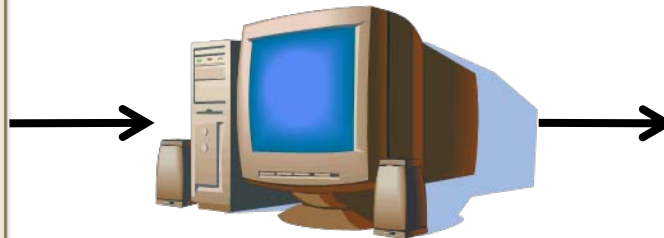
Tohoku University
(Presented at PLDI2011)

Our Goal

- Software model checker for **functional programs** (cf. SLAM, BLAST)

Functional program

```
let rec mc x =  
  if x > 100 then  
    x - 10  
  else  
    mc (mc (x + 11))  
in  
let n = randi() in  
if n ≤ 101 then  
  assert (mc n = 91)
```



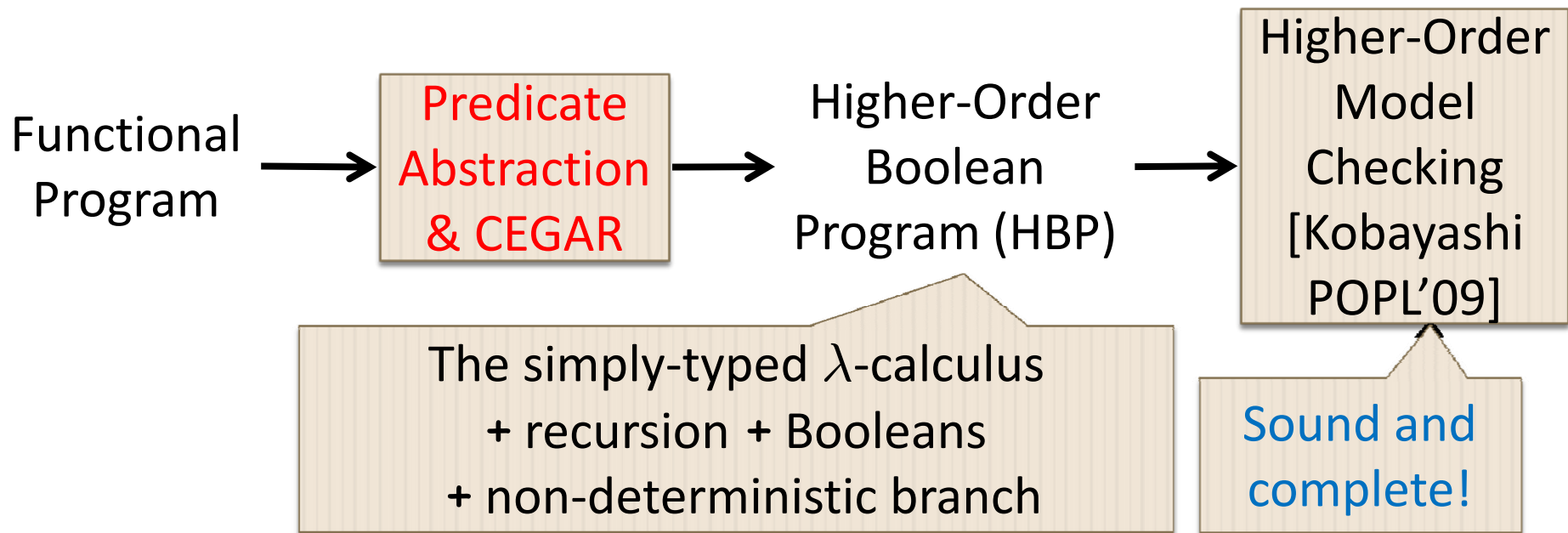
Software model checker

Result

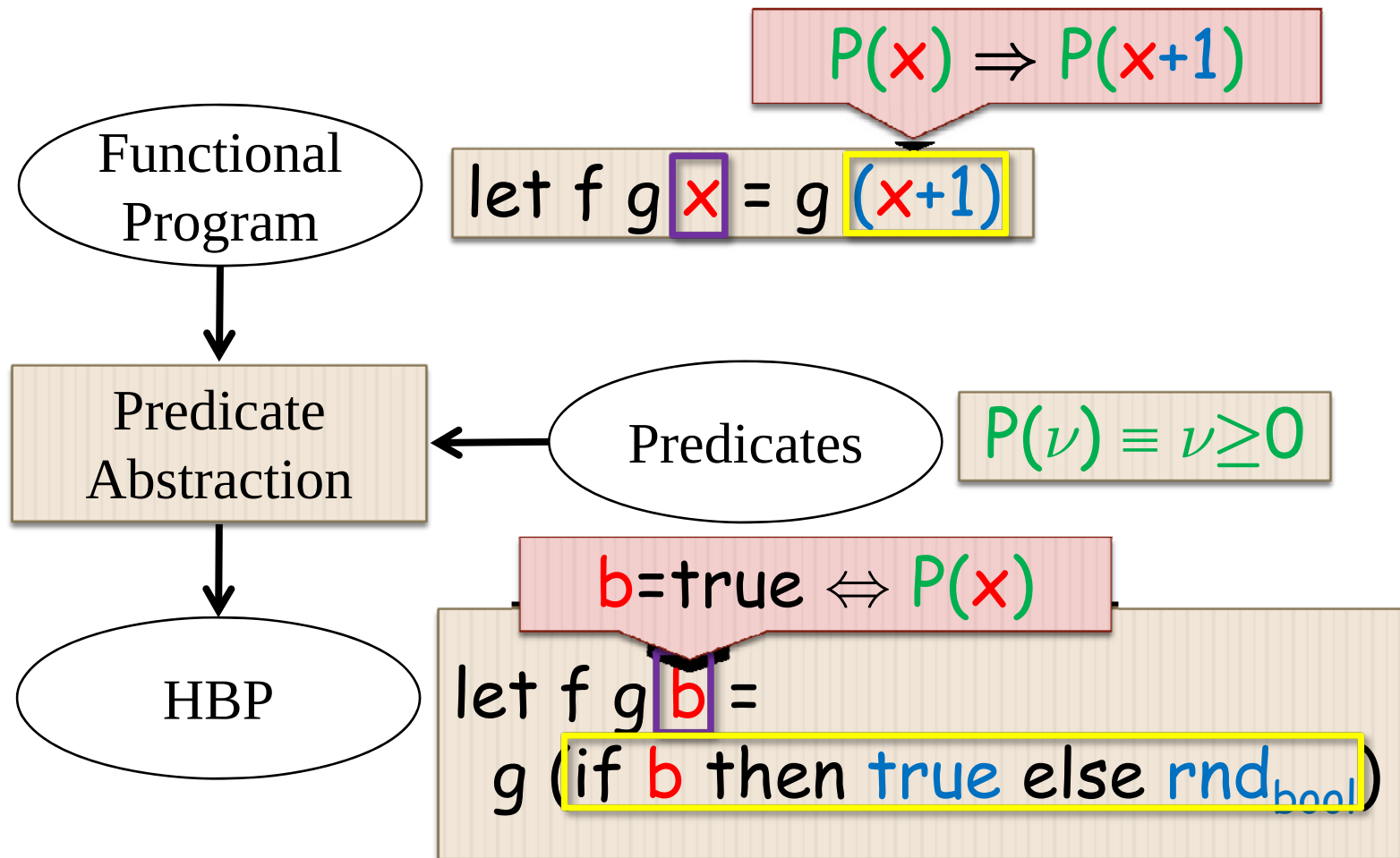
Certificate
or
Counterexample

Our Approach

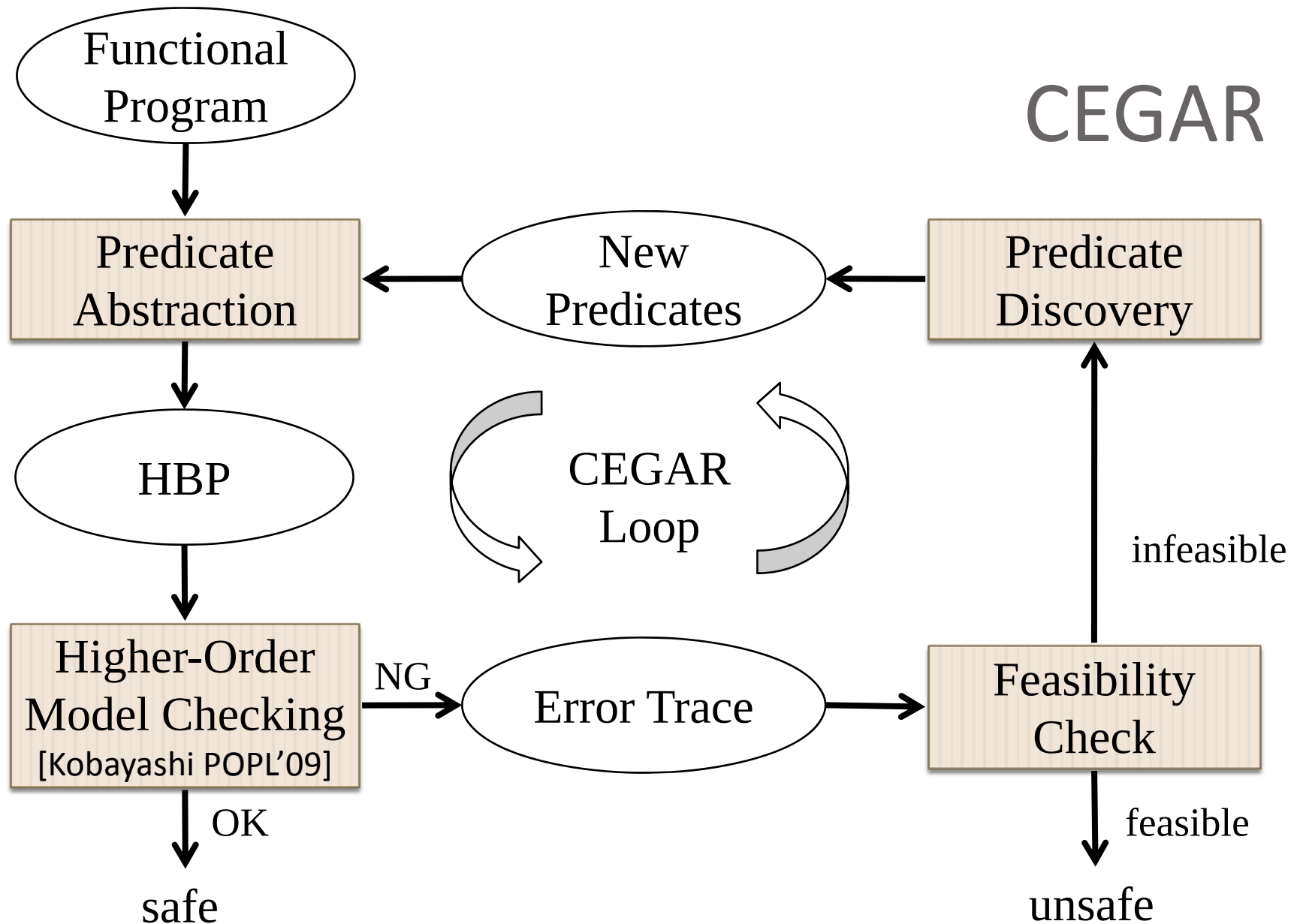
- Predicate abstraction [Graf & Saidi CAV'97] & CEGAR [Clarke et al. CAV'00] for higher-order model checking

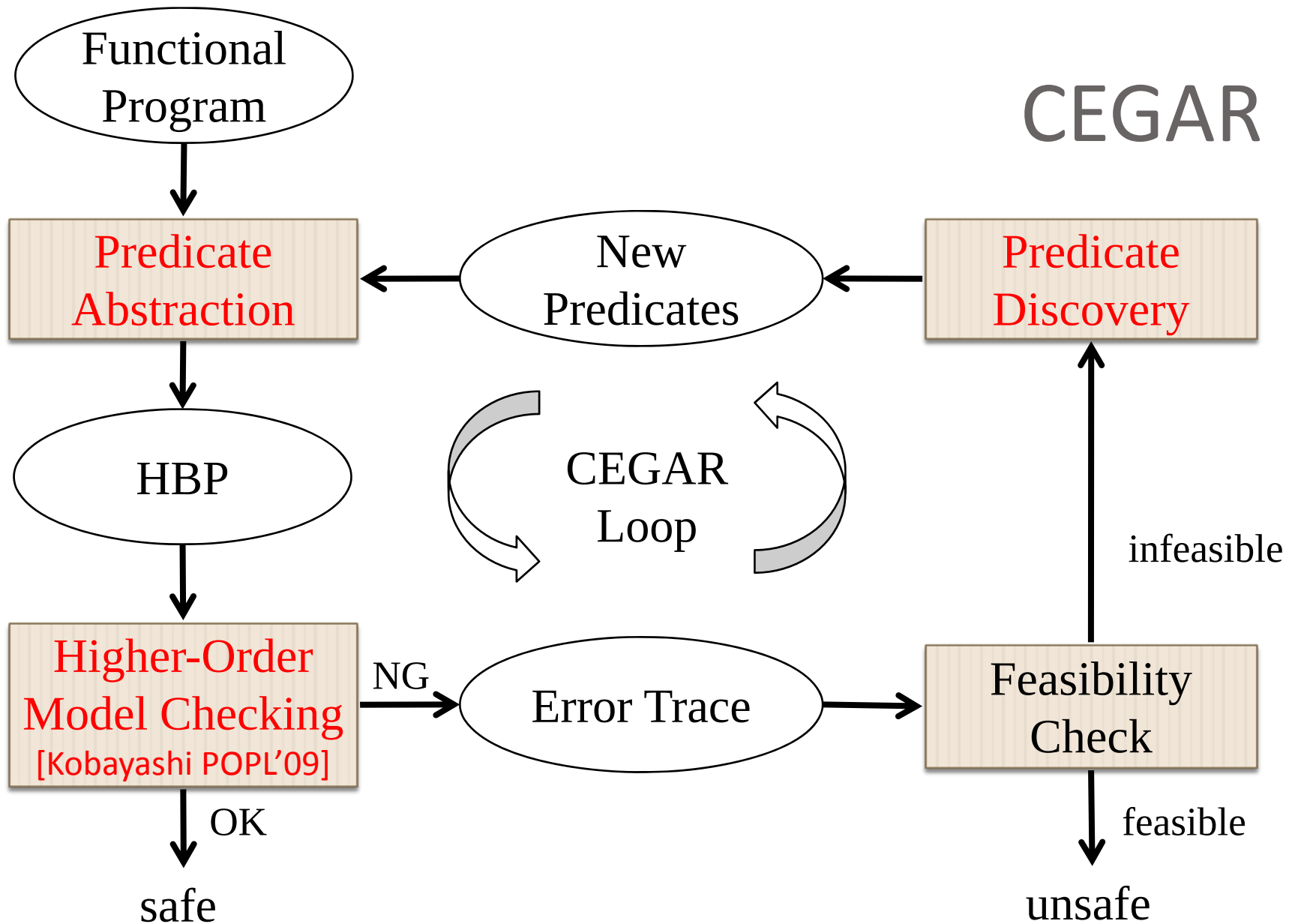


Predicate Abstraction



CEGAR





Challenges in Higher-Order Setting

- Predicate Abstraction
 - How to ensure consistency of abstraction across function boundaries?
- Predicate Discovery
 - How to find new predicates that can eliminate an infeasible error trace from the abstraction?

Challenges in Higher-Order Setting

- Predicate Abstraction
 - How to ensure consistency of abstraction across function boundaries?

The diagram illustrates a challenge in predicate abstraction across function boundaries. It shows two function definitions:

```
let sum n k = if n ≤ 0 then k 0  
             else sum (n-1) (λx.k (x+n))  
let main m = sum m (λx.assert(x ≥ m))
```

Annotations in the diagram include:

- A purple box around the `k` in the first line of the `sum` function.
- A yellow box around the `(x+n)` in the second line of the `sum` function.
- A purple box around the `m` in the `main` function.
- A yellow box around the `x` in the `main` function.

Arrows indicate the flow of abstraction:

- A purple arrow points from the `k` in the first line to the `m` in the `main` function.
- A yellow arrow points from the `(x+n)` in the second line to the `x` in the `main` function.

Our Solution

- **Abstraction types** to express abstraction interfaces of functions

```
let sum  $n$   $k$  = if  $n \leq 0$  then  $k$  0  
              else sum ( $n-1$ ) ( $\lambda x.k$  ( $x+n$ ))  
let main  $m$  = sum  $m$  ( $\lambda x.assert(x \geq m)$ )
```

sum: ($\nu: int[] \rightarrow (int[\lambda\mu.\mu \geq \nu] \rightarrow \star) \rightarrow \star$)

no predicates for n

predicate for the
1st argument of k

Unit type

Exa

ite

ion

$(\text{int}[P] \rightarrow \star) \rightarrow \star$
 $P(\mu) \equiv \mu \geq n-1$

$\text{int}[Q] \rightarrow \star$
 $Q(\mu) \equiv \mu \geq n$

let sum n k = if $n \leq 0$ then k 0

else sum $(n-1)$ ($\lambda x.k$ ($x+n$))

let main m = sum m ($\lambda x.\text{assert}(x \geq m)$)

$n > 0 \wedge P(x)$
 $\Rightarrow Q(x+n)$

sum: ($\nu:\text{int}[] \rightarrow (\text{int}[\lambda\mu.\mu \geq \nu] \rightarrow \star) \rightarrow \star$)

let sum () k = $b=\text{true} \Leftrightarrow P(x)$

if * then k true

else sum () ($\lambda b.k$ (if b then true else rnd_{bool}))

let main () = sum () ($\lambda b.\text{assert}(b)$)

Successfully model checked!

Type-Directed Predicate Abstraction

Functional Program

Abstraction Type

$$\Gamma \vdash M : \tau \triangleright t$$

Abstraction Type Environment

Boolean Program

$$\Gamma \vdash M : \tau' \rightarrow \tau \triangleright s \quad \Gamma \vdash N : \tau' \triangleright t$$

$$\Gamma \vdash M N : \tau \triangleright s t$$

Predicate Abstraction Rule for Function Applications

Challenges in Higher-Order Setting

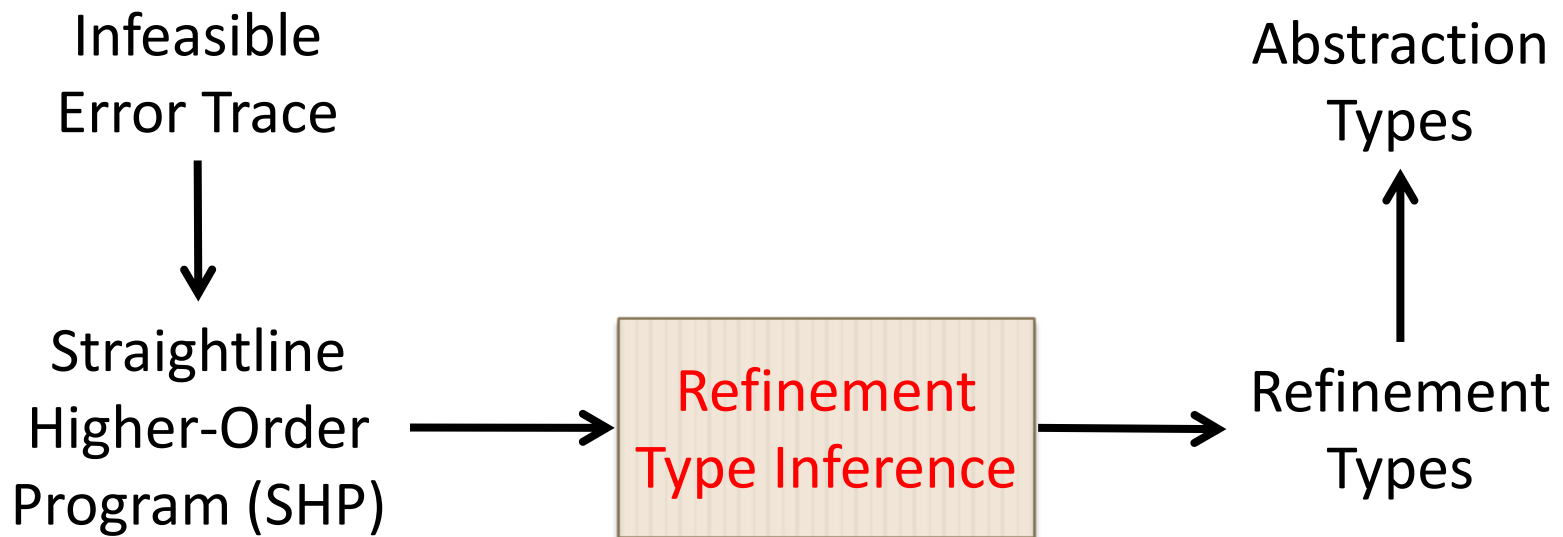
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Challenges in Higher-Order Setting

- Predicate Abstraction
 - How to ensure consistency of abstraction across function boundaries?
- Predicate Discovery
 - How to find **abstraction types** that can eliminate an infeasible error trace from the abstraction?

Our Solution

- Reduction to **refinement type inference** of a straightline higher-order program (SHP)



Example: Abstraction Type Finding (1/2)

```
let sum  $n$   $k$  = if  $n \leq 0$  then  $k$  0  
               else sum ( $n-1$ ) ( $\lambda x.k$  ( $x+n$ ))  
let main  $m$  = sum  $m$  ( $\lambda x.assert(x \geq m)$ )
```

Infeasible error trace:

```
main  $m$   $\rightarrow$  sum  $m$  ( $\lambda x.assert(x \geq m)$ )  
   $\rightarrow$  if  $m \leq 0$  then ( $\lambda x.assert(x \geq m)$ ) 0 else ...  
     $\rightarrow$  ( $\lambda x.assert(x \geq m)$ ) 0  
       $\rightarrow$  assert( $0 \geq m$ )  
         $\rightarrow$  fail
```

$m \leq 0$

$0 < m$

Example: Abstraction Type Finding (2/2)

```
let sum  $n$   $k$  = if  $n \leq 0$  then  $k$   $0$   
               else sum  $(n-1)$  ( $\lambda x.k$  ( $x+n$ ))  
let main  $m$  = sum  $m$  ( $\lambda x.assert(x \geq m)$ )
```

main $m \rightarrow^*$ if $m \leq 0 \dots \rightarrow_{m \leq 0} assert(0 \geq m) \rightarrow_{0 < m} fail$

Straightline Higher-Order Program (SHP):

```
let sum  $n$   $k$  = assume( $n \leq 0$ );  $k$   $0$   
let main  $m$  = sum  $m$  ( $\lambda x.assume(x < m); fail$ )
```

[Unno and Kobayashi PPDP'09]

Abstraction Type:

```
sum: ( $\nu: int[] \rightarrow (int[\lambda x.x \geq \nu] \rightarrow \star) \rightarrow \star$ )
```


Properties of Our Model Checker

- No false negative
- No false positive
- Not guaranteed to terminate
- An error trace is eventually found (if any)
- Guaranteed to progress
 - Any infeasible error trace is eventually eliminated from the abstraction

MoCHi: Model Checker for Higher-Order Programs

- Prototype model checker for OCaml
- Implemented using:
 - TRecS [Kobayashi PPDP'09]
as higher-order model checker
 - CVC3 [Barrett & Tinelli CAV'07]
for predicate abstraction
 - CSIsat [Beyer et al. CAV'08]
for predicate discovery

Preliminary Experiments (excerpted)

Program	CEGAR Loops	Time (s.)
mc91	2	0.07
ackermann	3	0.15
repeat	3	0.15
a-max	5	4.78
a-max-e	2	0.13
l-zipunzip	3	0.12
e-fact	2	0.01
r-file	12	5.23

Encoded by functions:

```
let make n =  $\lambda i.$ assert( $0 \leq i \wedge i < n$ ); 0
let update i x n a =
  assert( $0 \leq i \wedge i < n$ );
   $\lambda j.$ if  $i=j$  then x else a(i)
```

arrays

lists

exceptions

resource usage analysis

Eliminated by CPS transformation

Environment: Intel® Xeon® 3GHz + 8GB memory

Summary of Main Results

- Predicate abstraction and CEGAR for higher-order model checking
 - How to ensure consistency of abstraction?
 - ▷ **Abstraction types** to express abstraction interfaces
 - How to find abstraction types?
 - ▷ Reduction to **refinement type inference** of SHP
- Prototype model checker MoCHi for OCaml
 - Web interface available from:
<http://www.kb.ecei.tohoku.ac.jp/~ryosuke/cegar/>
- Preliminary experiments